

Gate Elimination for Linear Functions and new Feebly Secure Constructions

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- Our subject is public key cryptosystems.
- No cryptosystem with public key has been proven to be secure.
- If a secure public key cryptosystem exists then $P \neq NP$.
- Moreover, asymptotic cryptography is kind of useless in practice: you would be interested in specific key sizes.
- To prove anything about specific key sizes, we have to talk about *circuit complexity*.

- Of course, there are no nonlinear lower bounds in circuit complexity.
- But we can prove that *feebly secure* cryptosystems exist. Nikolenko and Hirsch constructed trapdoor functions which are $\frac{25}{22}$ times harder to break than to use.
- In this paper we will show an improvement of their construction allowing us to build a protocol which is $\frac{5}{4}$ harder to break than to use.
- From now on when speaking about complexity we will mean general circuit complexity.

Definitions

Fix functions $\text{pi}, \text{ti}, m, c : \mathbb{N} \rightarrow \mathbb{N}$. A *feebly trapdoor candidate* is a sequence of triples of circuits $\mathcal{C} = \{(\text{Key}_n, \text{Eval}_n, \text{Inv}_n)\}_{n=1}^{\infty}$ where:

- $\{\text{Key}_n\}_{n=1}^{\infty}$ is a family of sampling circuits
 $\text{Key}_n : \mathbb{B}^n \rightarrow \mathbb{B}^{\text{pi}(n)} \times \mathbb{B}^{\text{ti}(n)},$
- $\{\text{Eval}_n\}_{n=1}^{\infty}$ is a family of evaluation circuits
 $\text{Eval}_n : \mathbb{B}^{\text{pi}(n)} \times \mathbb{B}^{m(n)} \rightarrow \mathbb{B}^{c(n)},$ and
- $\{\text{Inv}_n\}_{n=1}^{\infty}$ is a family of inversion circuits
 $\text{Inv}_n : \mathbb{B}^{\text{ti}(n)} \times \mathbb{B}^{c(n)} \rightarrow \mathbb{B}^{m(n)}$

such that for every security parameter n , every seed $s \in \mathbb{B}^n$, and every input $m \in \mathbb{B}^{m(n)}$

$$\text{Inv}_n(\text{Key}_{n,2}(s), \text{Eval}_n(\text{Key}_{n,1}(s), m)) = m,$$

where $\text{Key}_{n,1}(s)$ and $\text{Key}_{n,2}(s)$ are the first $\text{pi}(n)$ bits (“public information”) and the last $\text{ti}(n)$ bits (“trapdoor information”) of $\text{Key}_n(s)$, respectively.

- A circuit N *breaks* a feebly trapdoor candidate $\mathcal{C} = \{\text{Key}_n, \text{Eval}_n, \text{Inv}_n\}$ on seed length n with probability r if, for uniformly chosen seeds $s \in \mathbb{B}^n$ and inputs $m \in \mathbb{B}^{m(n)}$,

$$\Pr_{(s,m) \in U} [N(\text{Key}_{n,1}(s), \text{Eval}_n(\text{Key}_{n,1}(s), m)) = m] > r.$$

- A feebly trapdoor candidate $\mathcal{C} = \{\text{Key}_n, \text{Eval}_n, \text{Inv}_n\}$ has *order of security* k with level $\frac{3}{4}$ if for every sequence of circuits $\{N_n\}_{n=1}^{\infty}$ that break f on every input length n with probability $\frac{3}{4}$,

$$\liminf_{n \rightarrow \infty} \min \left\{ \frac{C(N_n)}{C(\text{Key}_n)}, \frac{C(N_n)}{C(\text{Eval}_n)}, \frac{C(N_n)}{C(\text{Inv}_n)} \right\} \geq k.$$

- We will work with linear Boolean functions.
- It is convenient to represent linear functions as matrices.
- These functions are still interesting because the following theorem holds:

Nonconstructive Bounds to Linear Functions

- 1 For every n there exists a constant δ_n such that the circuit complexity of all linear functions $\phi : \{0, 1\}^n \rightarrow \{0, 1\}^n$ does not exceed $\delta_n \frac{n^2}{\log n}$, and $\lim_{n \rightarrow \infty} \delta_n = 1$.
- 2 For every $n \geq 3$, there exists a linear Boolean function $\phi : \{0, 1\}^n \rightarrow \{0, 1\}^n$ with circuit complexity greater than $\frac{n^2}{2 \log n}$.

- To build secure constructions we need a method to prove lower bounds on complexity.
- *Gate elimination* is virtually the only method we have to prove lower bounds.

Gate Elimination

- Consider a function f and a circuit of minimal size C that computes it.
- Now substitute some value c for some variable x thus obtaining a circuit for the function $f|_{x=c}$.
- The original circuit C can now be simplified, because the gates that had this variable as inputs become either unary or constant.

Idea 1

Suppose that for n steps, there is at least one gate to eliminate.
Then $C(f) \geq n$.

- Simple example: a function f that nontrivially depends on all n inputs has $C(f) \geq n - 1$.

Gate Elimination 1

Suppose that $\mathcal{P} = \{P_n\}_{n=1}^{\infty}$ is a series of predicates defined on matrices over \mathbb{F}_2 with the following properties:

- if $P_1(A)$ holds then $C_{3/4}(A) \geq 1$;
- if $P_n(A)$ holds then $P_m(A)$ holds for every $1 \leq m \leq n$;
- if $P_n(A)$ holds then, for every index i , $P_{n-1}(A_{-i})$ holds.

Then, for every matrix A with $\geq n + 1$ different columns, if $P_n(A)$ holds for some n then $C(A) \geq n$ and, moreover, $C_{3/4}(A) \geq n$.

Gate Elimination for Linear Functions (Generalized)

Idea 1 is not optimal because on each elimination step, we count only one gate as eliminated, while sometimes we actually get two or more.

Idea 2

Suppose that for n steps, there exists an input in the circuit with two outgoing edges, and, moreover, in m of these cases both of these edges go to a gate (rather than a gate and an output). Then $C(f) \geq n + m$.

Gate Elimination for Linear Functions (Generalized)

Gate Elimination 2

Suppose that predicates $\mathcal{R} = \{R_n\}_{n=1}^{\infty}$ and $\mathcal{Q} = \{Q_m\}_{m=1}^{\infty}$ defined on matrices over \mathbb{F}_2 have the following properties:

- if $R_1(A)$ holds then $C(A) \geq 1$;
- if $R_n(A)$ holds then $R_k(A)$ holds for every $1 \leq k \leq n$;
- if $R_n(A)$ holds then, for every i , $R_{n-1}(A_{-i})$ holds;
- if $Q_1(A)$ holds then $C(A) \geq 1$;
- if $Q_m(A)$ holds then $Q_k(A)$ holds for every $1 \leq k \leq m$;
- if $Q_m(A)$ holds then, for every i , $Q_{m-1}(A_{-i})$ holds;
- if $Q_m(A)$ holds and A_{-i} has more zero rows than A then $Q_m(A_{-i})$ holds.

Then, for every matrix A with $\geq n + 1$ columns, all of whose columns are different, if $R_n(A)$ and $Q_m(A)$ hold for some $n \geq m$ then $C(A) \geq n + m$ and, moreover, $C_{3/4}(A) \geq n + m$.

Gate Elimination for Linear Functions (Generalized)

- However, we are actually interested in the *total* number of gates eliminated rather than specifically eliminating one gate and two gates exactly (exact quantities and orderings may be hard to find).
- We call a nonzero entry *unique* if it is the only nonzero entry in its row.

Gate Elimination for Linear Functions (Generalized)

Gate Elimination 3

Suppose that $\mathcal{P} = \{P_n\}_{n=1}^{\infty}$ is a series of predicates defined on matrices over \mathbb{F}_2 with the following properties:

- if $P_1(A)$ holds then $C(A) \geq 1$;
- if $P_n(A)$ holds then $P_m(A)$ holds for every $1 \leq m \leq n$;
- if $P_n(A)$ holds then, for every index i , if the i^{th} column has no unique entries then $P_{n-2}(A_{-i})$ holds, otherwise $P_{n-1}(A_{-i})$ holds.

Then, for every matrix A with $\geq n + 1$ different columns, if $P_n(A)$ holds for some n then $C(A) \geq n$ and, moreover, $C_{3/4}(A) \geq n$.

Using Gate Elimination we can obtain several simple algorithms to estimate complexity of linear Boolean functions.

Algorithm 1

Let $t, u \geq 1$. Assume also that A is a matrix with all columns different and, every row of A has at least u nonzero entries, and after removing any t columns of A , the matrix still has at least one row containing at least two nonzero entries. Then $C(A) \geq u + t$ and, moreover, $C_{3/4}(A) \geq u + t$.

Algorithm 2

Let $t \geq u \geq 2$. Assume that A is a $u \times t$ matrix with different columns, and each column of A has at least two nonzero elements (ones). Then $C(A) \geq 2t - u$ and, moreover, $C_{3/4}(A) \geq 2t - u$.

- While the first algorithm was introduced in Hirsch and Nikolenko's paper, the second is a new result.
- It is very simple but has several interesting applications.
- For example, with this idea we can build a matrix with complexity $2n + \frac{n}{\log(n)} - 2\log(n) - 1$. Example of such a matrix is provided by cyclic shifts of Hamming code check matrices.

Block Diagonal Matrix Complexity

Suppose that a linear function χ is given by a block diagonal matrix

$$\begin{pmatrix} A_1 & 0 & \cdots & 0 \\ 0 & A_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & A_k \end{pmatrix},$$

and every A_j satisfies the conditions of Generalized Gate Elimination method with predicates $\mathcal{P}^j = \{P_n^j\}_{n=1}^\infty$, and $P_{n_j}^j(A_j)$

hold for every j . Then $C(\chi) \geq \sum_{j=1}^k n_j$.

New Feebly Secure Construction

By U_n , we denote the upper triangular square $n \times n$ matrix with a bidiagonal inverse:

$$U_n = \begin{pmatrix} 1 & 1 & \dots & 1 \\ 0 & 1 & \dots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{pmatrix}, \quad U_n^{-1} = \begin{pmatrix} 1 & 1 & 0 & \dots & 0 \\ 0 & 1 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1 \end{pmatrix};$$

note that U_n^2 is an upper triangular matrix with zeros and ones chequered. In what follows, we often write matrices that consist of other matrices as blocks; e.g., $(U_n \ U_n)$ is an $n \times 2n$ matrix consisting of two upper triangular blocks.

- 1 $C_{3/4}(U_n) = n - 1.$
- 2 $C_{3/4}(U_n^2) = n - 2.$
- 3 $C_{3/4}(U_n^{-1}) = n - 1.$
- 4 $C_{3/4}((U_n \ U_n)) = 2n - 1.$
- 5 $3n - 6 \leq C_{3/4}((U_n^2 \ U_n)) \leq C((U_n^2 \ U_n)) \leq 3n - 3.$
- 6 $3n - 4 \leq C_{3/4}((U_n \ U_n^{-1})) \leq C((U_n \ U_n^{-1})) \leq 3n - 2.$

New Feebly Secure Construction

- We assume that lengths of public information pi , trapdoor information ti , message m , and the cipher c are the same and equal n .
- We let $ti = U_n \cdot pi$, $c = (U_n^{-1} U_n) \cdot \begin{pmatrix} m \\ pi \end{pmatrix}$.
- An adversary would have to compute the matrix $(U_n U_n) \cdot \begin{pmatrix} c \\ ti \end{pmatrix} = (U_n U_n^2) \cdot \begin{pmatrix} c \\ pi \end{pmatrix}$.

Problem

Inversion without the trapdoor is harder than inversion with trapdoor, but encryption is about the same complexity as inversion without trapdoor.

Solving the Problem

- To solve this problem we will use a feebly one-way linear function A (one of Hiltgen's hard function with order of security up to 2).
- Their complexity follows from Algorithm 1, so we can stack them up into a block matrix.
- New protocol:

$$\begin{aligned}\text{Key}_n &= \begin{pmatrix} U_n & 0 \\ 0 & I_n \end{pmatrix} \cdot (s \ s) = \begin{pmatrix} t_j \\ p_i \end{pmatrix}, \\ \text{Eval}_n &= \begin{pmatrix} U_n^{-1} & U_n & 0 \\ 0 & 0 & A \end{pmatrix} \cdot \begin{pmatrix} m_1 \\ p_i \\ m_2 \end{pmatrix} = \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}, \\ \text{Inv}_n &= \begin{pmatrix} U_n & U_n & 0 \\ 0 & 0 & A^{-1} \end{pmatrix} \cdot \begin{pmatrix} c_1 \\ t_i \\ c_2 \end{pmatrix} = \begin{pmatrix} m_1 \\ m_2 \end{pmatrix}.\end{aligned}$$

- Complexities of new protocol:

$$\begin{aligned}C_{3/4}(\text{Key}_n) &= n - 1, \\C_{3/4}(\text{Eval}_n) &= 3n + \lambda n + o(n), \\C_{3/4}(\text{Inv}_n) &= 2n + (2 - \epsilon)\lambda n + o(n), \\C_{3/4}(\text{Adv}_n) &= 3n + (2 - \epsilon)\lambda n + o(n).\end{aligned}$$

- The order of security of this construction is now:

$$\begin{aligned}\lim_{n \rightarrow \infty} \left(\min \left(\frac{C_{3/4}(\text{Adv}_n)}{C(\text{Eval}_n)}, \frac{C_{3/4}(\text{Adv}_n)}{C(\text{Inv}_n)}, \frac{C_{3/4}(\text{Adv}_n)}{C(\text{Key}_n)} \right) \right) &= \\ &= \min \left(\frac{3 + (2 - \epsilon)\lambda}{3 + \lambda}, \frac{3 + (2 - \epsilon)\lambda}{2 + (2 - \epsilon)\lambda} \right).\end{aligned}$$

This expression reaches maximum for $\lambda = \frac{1}{1-\epsilon}$, and this maximum is $\frac{5-4\epsilon}{4-\epsilon}$, which tends to $\frac{5}{4}$ as $\epsilon \rightarrow 0$.

Thank you!

Thank you for your attention!