# On Lower Bounds for Multiplicative Circuits and Linear Circuits in Noncommutative Domains

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### Outline

- 1. Lower Bounds for Multiplicative Circuits.
  - 1.1 lower bounds for Circuits over free monoids.
  - 1.2 lower bounds for Circuits over permutation groups.

#### 2. Lower Bounds for Linear Circuits over Noncommutative Rings

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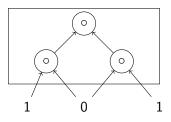
• Let  $T = \{0,1\}^*$  and  $\circ =$  string concatenation operation

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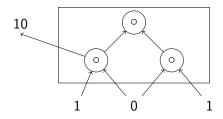
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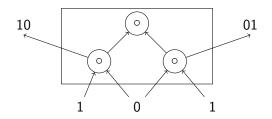
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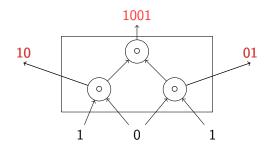
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#### Circuit over monoid $(T,\circ)$ :



- fanin = 2
- size = number of gates in the circuit
- multi-output circuit

#### Our Results

Theorem (Lower Bounds for Circuits over free Monoids) Let  $S = \{y_1, ..., y_n\} \subseteq \{0, 1\}^n$  be the explicit set of n strings. Any concatenation circuit that takes  $X = \{0, 1\}$  as input and outputs  $y_1, ..., y_n$  will require size  $\Omega(\frac{n^2}{\log^2 n})$ .

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Theorem (Lower Bounds for Circuits over permutation group) Any composition circuit over the permutation group  $(S_N, \cdot)$ , with domain size  $N = 2^{\frac{n^2}{\log^2 n}}$ , that takes as input  $\pi_0, \pi_1$  and computes  $G_S = \{\pi_{y_i} | y_i \in S\} \subseteq S_N$  as output is of size  $\Omega(\frac{n^2}{\log^2 n})$ .

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Definition (Construction of S)

• Let 
$$D = \{1, 2, ..., n^2\}.$$

▶ Each  $i \in [n^2]$  requires  $\lceil 2 \log_2 n \rceil$  bits to represent it in binary

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► Each  $y_i \in \{0, 1\}^n$  constructed has the property that  $y_i$  has  $\geq \frac{n}{2 \log n}$  distinct substrings of length  $2 \log n$ .

Lemma

Let  $s \in X^n$  be any string where  $|X| \ge 2$ , such that the number of distinct substrings of s of length  $\ell$  is N. Then any concatenation circuit for s will require  $\Omega(\frac{N}{\ell})$  gates.

Lemma

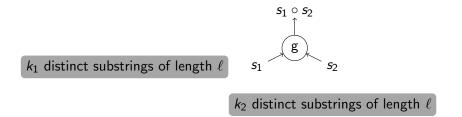
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#### Theorem

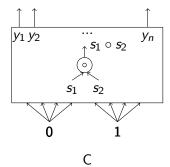
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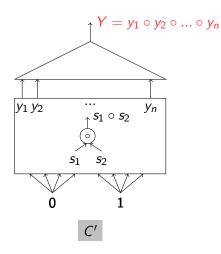
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- Let *C* be a concatenation circuit computing *S*.
- Note that each y<sub>i</sub> ∈ S have Ω(<sup>n</sup>/<sub>log n</sub>) distinct substrings of length O(log n).
- ► In total  $y_1, y_2, ..., y_n$  have  $\Omega(\frac{n^2}{\log n})$  distinct substrings of length  $O(\log n)$ .

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## 2. Circuits over permutation groups

#### Circuits over permutation groups:

•  $S = S_N$  where  $S_N$  is a permutation group with domain size N

operation is composition

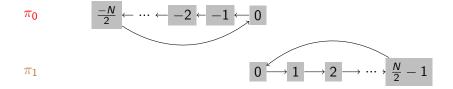
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**Definition of generating elements:**  $\pi_0$  and  $\pi_1$ 

• Let 
$$D = \{-\frac{N}{2}, -(\frac{N}{2}-1), ..., -1, 0, 1, ..., \frac{N}{2}-1\}$$



• Let y = 101. By  $\pi_y$  we mean the permutation  $\pi_1 \pi_0 \pi_1$ .

**Definition:**  $G_S = \{\pi_{y_i} | y_i \in S\}$ , where the set *S* be the explicit set of *n* strings defined before.

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**Goal:** To compute  $G_S$  using composition circuits

#### Theorem

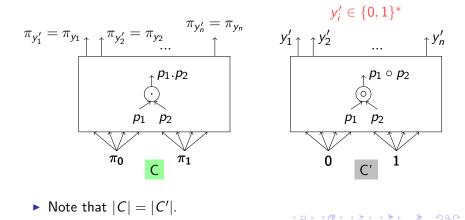
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Suppose in C', if ∀i ∈ [n], y'<sub>i</sub> = y<sub>i</sub> ∈ S then C' is a concatenation circuit computing S = {y<sub>1</sub>,...,y<sub>n</sub>}.

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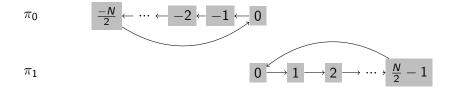
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- Otherwise in C',  $\exists i \in [n]$ ,  $y'_i \neq y_i$ .
- Let  $\pi_{y_i} = v.b_1.s$ ,  $\pi_{y'_i} = u.b_2.s$ , where  $b_1 \neq b_2$ .
- w.l.o.g, assume that  $b_1 = \pi_0$  and  $b_2 = \pi_1$ .

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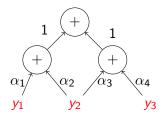
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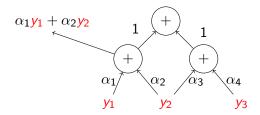
► Thus, *u* have at least  $(\frac{N}{2} - 1)$  copies of  $\pi_1$  and since famin is 2,  $|C| \ge \Omega(\log(\frac{N}{2} - 1))$ , where  $N = 2^{\frac{n^2}{\log^2 n}}$ .

**Example:** Linear circuit over noncommutative ring R



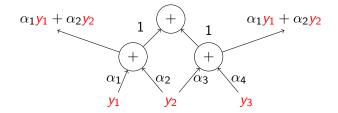
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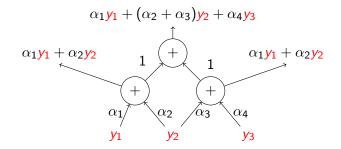
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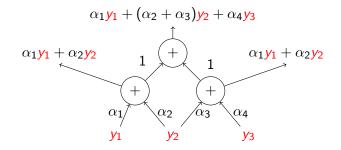
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- When R is a field, we get the well-studied linear circuits model (see Satya Lokam's 2009 survey).
- No explicit superlinear size lower bounds are known for this model over fields (except for some special cases like the bounded coefficient model [Morgenstern'73])
- When the coefficients to come from a *noncommutative* ring R = 𝔽⟨x<sub>0</sub>, x<sub>1</sub>⟩, we prove lower bounds for certain restricted linear circuits.

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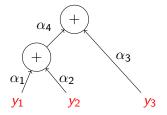
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- Non-homogeneous polynomials:  $x_1^2 + x_0$

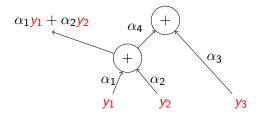
Homogeneous Linear Circuits over  $\mathbb{F}\langle x_0, x_1 \rangle$ 



where  $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathbb{F}\langle x_0, x_1 \rangle$ .

each gate g of the circuit computes a linear form ∑<sup>n</sup><sub>i=1</sub> β<sub>i</sub>y<sub>i</sub>, where the β<sub>i</sub> ∈ ℝ⟨x<sub>0</sub>, x<sub>1</sub>⟩ are all homogeneous polynomials of the same degree.

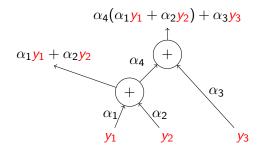
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Our goal is to construct an explicit matrix M ∈ ℝ<sup>n×n</sup>⟨x<sub>0</sub>, x<sub>1</sub>⟩ such that MY, where Y = (y<sub>1</sub>, y<sub>2</sub>,..., y<sub>n</sub>)<sup>T</sup> is a column vector of input variables, can not be computed by any homogeneous linear circuit C with size O(n) and depth O(log n).

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#### Notation

Consider  $n \times n$  matrices  $\mathbb{F}^{n \times n}$  over field  $\mathbb{F}$ . The support of a matrix  $A \in \mathbb{F}^{n \times n}$  is the set of locations  $\operatorname{supp}(A) = \{(i, j) \mid A_{ij} \neq 0\}$ .

### Definition (Rigidity of a matrix)

Let  $\mathbb{F}$  be any field. The rigidity of a matrix  $A \in \mathbb{F}^{n \times n}$ , denoted by  $\mathcal{R}_r(A)$ , is the smallest number t for which there are set of t positions  $S \subseteq [n] \times [n]$  and a matrix E such that:

- $\operatorname{supp}(E) \subseteq S$
- rank of A + E is upper bounded by r.

### Definition (Rigidity of a deck of matrices)

Let  $\mathbb{F}$  be any field. The rigidity  $\rho_r(D)$  of a deck of matrices  $D = \{A_1, A_2, \dots, A_N\} \subseteq \mathbb{F}^{n \times n}$  is the smallest number t for which there are set of t positions  $S \subseteq [n] \times [n]$  and a deck of matrices  $E = \{E_1, E_2, \dots, E_N\}$  such that for all i:

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A deck of matrices  $D = \{A_1, A_2, \dots, A_N\} \subseteq \mathbb{F}^{n \times n}$  is called *rigid deck* if  $\rho_{\epsilon \cdot n}(D) = \Omega(n^{2-o(1)})$ , where  $\epsilon > 0$  is a constant.

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• Notice that for N = 1, this is the notion of rigid matrices.

### Definition (Construction of a Rigid deck)

Let  $D = \{A_m \mid m \in \{x_0, x_1\}^{n^2}\}$  with matrices  $A_m$  indexed by string m of length  $n^2$ . The matrix  $A_m$  is defined as follows:  $1 \le i, j \le n$ 

$$A_m[i,j] = \begin{cases} 1 & \text{if } m_{ni+j} = x_1 \\ 0 & \text{if } m_{ni+j} = x_0 \end{cases}$$

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For each k ∈ {x<sub>0</sub>, x<sub>1</sub>}<sup>n<sup>2</sup></sup> and each 1 ≤ i, j ≤ n there is a polynomial (in n) time algorithm that outputs the (i, j)<sup>th</sup> entry of A<sub>k</sub>. We call such a deck D as an explicit deck.

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#### Lemma

The above deck  $D = \{A_m \mid m \in \{x_0, x_1\}^{n^2}\}$  is an explicit rigid deck for any field  $\mathbb{F}$ .

- ► We now turn to the lower bound result for homogeneous linear circuits where the coefficient ring is F(x<sub>0</sub>, x<sub>1</sub>).
- WANT: an explicit matrix M ∈ F<sup>n×n</sup>⟨x<sub>0</sub>, x<sub>1</sub>⟩ such that MY, where Y is a vector of input variables, can not be computed by any homogeneous linear circuits C with size O(n) and depth O(log n).

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### Definition (of matrix M)

We define an explicit  $n \times n$  matrix M as  $M = \sum_{m \in \{x_0, x_1\}^{n^2}} A_m m$ , where  $D = \{A_m \mid m \in \{x_0, x_1\}^{n^2}\}$  is the deck defined before

each entry of matrix M can be expressed as

$$M_{ij} = (x_0 + x_1)^{(i-1)n+j-1} \cdot x_1 \cdot (x_0 + x_1)^{n^2 - ((i-1)n+j)}.$$

Theorem

Any homogeneous linear circuit C over the coefficient ring  $\mathbb{F}\langle x_0, x_1 \rangle$  computing MY, for M defined before, requires either size  $\omega(n)$  or depth  $\omega(\log n)$ .

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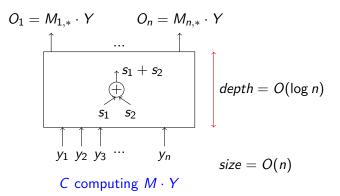
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▶ By Valiant's graph-theoretic argument, in the circuit *C* there is a set of gates *V* of cardinality  $s = \frac{c_1 n}{\log \log n} = o(n)$  such that at least  $n^2 - n^{1+\delta}$ , for  $\delta < 1$ , input-output pairs have all their paths going through *V*.

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• Thus, we can write  $M = B_1B_2 + E$ , where

- $B_1 \in \mathbb{F}^{n \times s} \langle x_0, x_1 \rangle$  and
- $B_2 \in \mathbb{F}^{s \times n} \langle x_0, x_1 \rangle$
- $E \in \mathbb{F}^{n \times n} \langle x_0, x_1 \rangle$  and  $|\operatorname{supp}(E)| \leq n^{1+\delta}$

► Write matrices *M*,*E* and *B*<sub>1</sub>*B*<sub>2</sub> as a polynomial with matrix coefficients.

#### Example:

$$\begin{pmatrix} 6x_0 + x_1 & x_0 \\ 8x_1 & 0 \end{pmatrix} = \begin{pmatrix} 6 & 1 \\ 0 & 0 \end{pmatrix} x_0 + \begin{pmatrix} 1 & 0 \\ 8 & 0 \end{pmatrix} x_1$$

► 
$$M = \sum_{m \in \{x_0, x_1\}^{n^2}} A_m \cdot m$$
, where  $A_m \in A$   
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- we know that, |supp(E<sub>m</sub>)| ≤ n<sup>1+δ</sup>. This contradicts the fact that A is a rigid deck.

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#### Summary:-

- We have shown lower bounds for
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Thank you.

## Linear Circuits over Rings, contd

#### Lemma

The deck  $A = \{A_m \mid m \in \{x_0, x_1\}^{n^2}\}$  is an explicit rigid deck for any field  $\mathbb{F}$ .

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### Proof:-

- Valiant showed that almost all n × n 0-1 matrices A over any field 𝔽 have rigidity ρ<sub>r</sub>(A) = Ω((n-r)<sup>2</sup>/log n) for target rank r.
- ▶ In particular, for  $r = \epsilon \cdot n$ , over any field  $\mathbb{F}$ , there is a 0-1 matrix R for which we have  $\rho_r(R) \ge \frac{\delta \cdot n^2}{\log n}$  for some constant  $\delta > 0$  depending on  $\epsilon$ .

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• We claim that for the deck  $\mathcal{A}$  we have  $\rho_{\epsilon n}(\mathcal{A}) \geq \frac{\delta \cdot n^2}{\log n}$ .

- ▶ To see this,  $S \subseteq [n] \times [n]$  such that  $|S| < \frac{\delta n^2}{\log n}$
- Let E = {E<sub>m</sub> ∈ ℝ<sup>n×n</sup> | m ∈ {x<sub>0</sub>, x<sub>1</sub>}<sup>n<sup>2</sup></sup>} be any collection of matrices such that:
  - $\operatorname{supp}(E_m) \subseteq S$
  - Thus, we have for each m,  $|\operatorname{supp}(E_m)| < \frac{\delta n^2}{\log n}$
- Since the deck A contains all 0-1 matrices, in particular  $R \in A$  and  $R = A_m$  for some monomial m.
- From the rigidity of R we know that the rank of  $R + E_m$  is at least  $\epsilon n$ .

This proves the claim and the lemma follows.

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- ▶ By the homogeneity condition on the circuit *C*, we can partition  $V = V_1 \cup V_2 \cup \ldots V_\ell$ , where each gate *g* in  $V_i$  computes a linear form  $\sum_{j=1}^n \gamma_j y_j$  and  $\gamma_j \in \mathbb{F}\langle x_0, x_1 \rangle$  is a homogeneous degree  $d_i$  polynomial.

• Let  $s_i = |V_i|, 1 \le i \le \ell$ . Then we have  $s = s_1 + s_2 + \ldots s_\ell$ .

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- Every monomial m has a unique prefix of length d<sub>i</sub> for each degree d<sub>i</sub>.
  - Thus, we can write  $B_m = \sum_{j=1}^{\ell} B_{m,j,1} B_{m,j,2}$ , where
    - $B_{m,j,1}$  is the  $n \times s_j$  matrix corresponding to the  $d_j$ -prefix of m
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Putting it together, for each monomial m we have  $A_m = B_m + E_m$ , where  $B_m$  is rank s and  $| \cup_{m \in \{x_0, x_1\}^{n^2}} \operatorname{supp}(E_m) | \le n^{1+\delta}$ .

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Putting it together, for each monomial *m* we have  $A_m = B_m + E_m$ , where  $B_m$  is rank s and  $|\bigcup_{m \in \{x_0, x_1\}^{n^2}} \operatorname{supp}(E_m)| \le n^{1+\delta}$ . This contradicts the fact that  $\mathcal{A}$  is a rigid deck.