# On Lower Bounds for Multiplicative Circuits and Linear Circuits in Noncommutative Domains 

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## Outline

1. Lower Bounds for Multiplicative Circuits.
1.1 lower bounds for Circuits over free monoids.
1.2 lower bounds for Circuits over permutation groups.
2. Lower Bounds for Linear Circuits over Noncommutative Rings

## Multiplicative Circuits

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- $(T, \circ)$ is a monoid


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Circuit over monoid ( $T, \circ$ ):


- $\operatorname{fanin}=2$
- size $=$ number of gates in the circuit
- multi-output circuit


## Our Results

Theorem (Lower Bounds for Circuits over free Monoids) Let $S=\left\{y_{1}, \ldots, y_{n}\right\} \subseteq\{0,1\}^{n}$ be the explicit set of $n$ strings. Any concatenation circuit that takes $X=\{0,1\}$ as input and outputs $y_{1}, \ldots, y_{n}$ will require size $\Omega\left(\frac{n^{2}}{\log ^{2} n}\right)$.

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Theorem (Lower Bounds for Circuits over permutation group) Any composition circuit over the permutation group $\left(S_{N}, \cdot\right)$, with domain size $N=2^{\frac{n^{2}}{\log ^{2} n}}$, that takes as input $\pi_{0}, \pi_{1}$ and computes $G_{S}=\left\{\pi_{y_{i}} \mid y_{i} \in S\right\} \subseteq S_{N}$ as output is of size $\Omega\left(\frac{n^{2}}{\log ^{2} n}\right)$.

## Lower Bounds for Circuits over free Monoids

Circuits over free Monoids: $T=\{0,1\}^{*}$ and monoid operation is string concatenation.
Definition (Construction of $S$ )

- Let $D=\left\{1,2, \ldots, n^{2}\right\}$.
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- Each $y_{i} \in\{0,1\}^{n}$ constructed has the property that $y_{i}$ has $\geq \frac{n}{2 \log n}$ distinct substrings of length $2 \log n$.


## Lower Bounds for Circuits over free Monoids, contd

## Lemma

Let $s \in X^{n}$ be any string where $|X| \geq 2$, such that the number of distinct substrings of $s$ of length $\ell$ is $N$. Then any concatenation circuit for $s$ will require $\Omega\left(\frac{N}{\ell}\right)$ gates.

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Proof Let $C$ be a concatenation circuit computing $s$.
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- \# of new substrings of length $\ell$ generated at any $g$ is $\leq \ell-1$ and this gives, $|C|=\Omega\left(\frac{N}{\ell}\right)$.


## Lower Bounds for Circuits over free Monoids, contd

Theorem
Let $S=\left\{y_{1}, \ldots, y_{n}\right\} \subseteq\{0,1\}^{n}$ be the explicit set of $n$ strings defined above. Any concatenation circuit that takes $X=\{0,1\}$ as input and outputs $S$ at its $n$ output gates will require size $\Omega\left(\frac{n^{2}}{\log ^{2} n}\right)$.

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Proof

- Let $C$ be a concatenation circuit computing $S$.
- Note that each $y_{i} \in S$ have $\Omega\left(\frac{n}{\log n}\right)$ distinct substrings of length $O(\log n)$.
- In total $y_{1}, y_{2}, \ldots, y_{n}$ have $\Omega\left(\frac{n^{2}}{\log n}\right)$ distinct substrings of length $O(\log n)$.


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$-Y$ have $\Omega\left(\frac{n^{2}}{\log n}\right)$ distinct substrings of length $O(\log n)$
- By Lemma, $\left|C^{\prime}\right|=\Omega\left(\frac{n^{2}}{\log ^{2} n}\right)$


## $C^{\prime}$

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- By Lemma, $\left|C^{\prime}\right|=\Omega\left(\frac{n^{2}}{\log ^{2} n}\right)$
- This gives, $|C|=\Omega\left(\frac{n^{2}}{\log ^{2} n}\right)$


## 2. Circuits over permutation groups

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Definition of generating elements: $\pi_{0}$ and $\pi_{1}$

- Let $D=\left\{-\frac{N}{2},-\left(\frac{N}{2}-1\right), \ldots,-1,0,1, \ldots, \frac{N}{2}-1\right\}$

- Let $y=101$. By $\pi_{y}$ we mean the permutation $\pi_{1} \pi_{0} \pi_{1}$.

Definition: $G_{S}=\left\{\pi_{y_{i}} \mid y_{i} \in S\right\}$, where the set $S$ be the explicit set of $n$ strings defined before.

Goal: To compute $G_{S}$ using composition circuits

Theorem
Any composition circuit over the permutation group $\left(S_{N}, \cdot\right)$, with domain size $N=2^{\frac{n^{2}}{\log ^{2} n}}$, that takes as input $\pi_{0}, \pi_{1}$ and computes $G_{S}=\left\{\pi_{y_{i}} \mid y_{i} \in S\right\} \subseteq S_{N}$ as output is of size $\Omega\left(\frac{n^{2}}{\log ^{2} n}\right)$.

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Proof:- Let $C$ be a composition circuit computing $G_{S}$.


- Note that $|C|=\left|C^{\prime}\right|$.
- Suppose in $C^{\prime}$, if $\forall i \in[n], y_{i}^{\prime}=y_{i} \in S$ then $C^{\prime}$ is a concatenation circuit computing $S=\left\{y_{1}, \ldots, y_{n}\right\}$.
- By Theorem, $\left|C^{\prime}\right|=\Omega\left(\frac{n^{2}}{\log ^{2} n}\right) \Longrightarrow|C|=\Omega\left(\frac{n^{2}}{\log ^{2} n}\right)$.
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- Otherwise in $C^{\prime}, \exists i \in[n], y_{i}^{\prime} \neq y_{i}$.
- Let $\pi_{y_{i}}=v . b_{1} \cdot s, \pi_{y_{i}^{\prime}}=u \cdot b_{2} \cdot s$, where $b_{1} \neq b_{2}$.
- w.l.o.g, assume that $b_{1}=\pi_{0}$ and $b_{2}=\pi_{1}$.
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- $D=\left\{-\frac{N}{2},-\left(\frac{N}{2}-1\right), \ldots,-1,0,1, \ldots, \frac{N}{2}-1\right\}$

- Thus, $u$ have at least $\left(\frac{N}{2}-1\right)$ copies of $\pi_{1}$ and since fanin is 2, $|C| \geq \Omega\left(\log \left(\frac{N}{2}-1\right)\right)$, where $N=2^{\frac{n^{2}}{\log ^{2} n}}$.


## Linear Circuits over Rings

## Example: Linear circuit over noncommutative ring $R$


where $\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4} \in R$.

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## Linear Circuits over Rings, contd

- When $R$ is a field, we get the well-studied linear circuits model (see Satya Lokam's 2009 survey).
- No explicit superlinear size lower bounds are known for this model over fields (except for some special cases like the bounded coefficient model [Morgenstern'73])
- When the coefficients to come from a noncommutative ring $R=\mathbb{F}\left\langle x_{0}, x_{1}\right\rangle$, we prove lower bounds for certain restricted linear circuits.


## Linear Circuits over Rings, contd

## Definition (Homogeneous polynomials)

A polynomial $P \in \mathbb{F}\left\langle x_{0}, x_{1}\right\rangle$ is called homogeneous if degree of each monomial in $P$ is the same.

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- Non-homogeneous polynomials: $x_{1}^{2}+x_{0}$


## Homogeneous Linear Circuits over $\mathbb{F}\left\langle x_{0}, x_{1}\right\rangle$


where $\alpha_{1}, \alpha_{2}, \alpha_{3}, \alpha_{4} \in \mathbb{F}\left\langle x_{0}, x_{1}\right\rangle$.

- each gate $g$ of the circuit computes a linear form $\sum_{i=1}^{n} \beta_{i} y_{i}$, where the $\beta_{i} \in \mathbb{F}\left\langle x_{0}, x_{1}\right\rangle$ are all homogeneous polynomials of the same degree.


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## Linear Circuits over Rings, contd

- Our goal is to construct an explicit matrix $M \in \mathbb{F}^{n \times n}\left\langle x_{0}, x_{1}\right\rangle$ such that $M Y$, where $Y=\left(y_{1}, y_{2}, \ldots, y_{n}\right)^{T}$ is a column vector of input variables, can not be computed by any homogeneous linear circuit $C$ with size $O(n)$ and depth $O(\log n)$.


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Notation
Consider $n \times n$ matrices $\mathbb{F}^{n \times n}$ over field $\mathbb{F}$. The support of a matrix $A \in \mathbb{F}^{n \times n}$ is the set of locations $\operatorname{supp}(A)=\left\{(i, j) \mid A_{i j} \neq 0\right\}$.

## Definition (Rigidity of a matrix)

Let $\mathbb{F}$ be any field. The rigidity of a matrix $A \in \mathbb{F}^{n \times n}$, denoted by $\mathcal{R}_{r}(A)$, is the smallest number $t$ for which there are set of $t$ positions $S \subseteq[n] \times[n]$ and a matrix $E$ such that:

- $\operatorname{supp}(E) \subseteq S$
- rank of $A+E$ is upper bounded by $r$.


## Linear Circuits over Rings, contd

Definition (Rigidity of a deck of matrices)
Let $\mathbb{F}$ be any field. The rigidity $\rho_{r}(D)$ of a deck of matrices $D=\left\{A_{1}, A_{2}, \ldots, A_{N}\right\} \subseteq \mathbb{F}^{n \times n}$ is the smallest number $t$ for which there are set of $t$ positions $S \subseteq[n] \times[n]$ and a deck of matrices
$E=\left\{E_{1}, E_{2}, \ldots, E_{N}\right\}$ such that for all $i$ :

- $\operatorname{supp}\left(E_{i}\right) \subseteq S$
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Definition (Rigid deck)
A deck of matrices $D=\left\{A_{1}, A_{2}, \ldots, A_{N}\right\} \subseteq \mathbb{F}^{n \times n}$ is called rigid deck if $\rho_{\epsilon \cdot n}(D)=\Omega\left(n^{2-o(1)}\right)$, where $\epsilon>0$ is a constant.

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- Notice that for $N=1$, this is the notion of rigid matrices.


## Linear Circuits over Rings, contd

Definition (Construction of a Rigid deck)
Let $D=\left\{A_{m} \mid m \in\left\{x_{0}, x_{1}\right\}^{n^{2}}\right\}$ with matrices $A_{m}$ indexed by string $m$ of length $n^{2}$. The matrix $A_{m}$ is defined as follows: $1 \leq i, j \leq n$

$$
A_{m}[i, j]= \begin{cases}1 & \text { if } m_{n i+j}=x_{1} \\ 0 & \text { if } m_{n i+j}=x_{0}\end{cases}
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- For each $k \in\left\{x_{0}, x_{1}\right\}^{n^{2}}$ and each $1 \leq i, j \leq n$ there is a polynomial (in $n$ ) time algorithm that outputs the $(i, j)^{t h}$ entry of $A_{k}$. We call such a deck $D$ as an explicit deck.


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Lemma
The above deck $D=\left\{A_{m} \mid m \in\left\{x_{0}, x_{1}\right\}^{n^{2}}\right\}$ is an explicit rigid deck for any field $\mathbb{F}$.

## Linear Circuits over Rings, contd

- We now turn to the lower bound result for homogeneous linear circuits where the coefficient ring is $\mathbb{F}\left\langle x_{0}, x_{1}\right\rangle$.
- WANT: an explicit matrix $M \in \mathbb{F}^{n \times n}\left\langle x_{0}, x_{1}\right\rangle$ such that $M Y$, where $Y$ is a vector of input variables, can not be computed by any homogeneous linear circuits $C$ with size $O(n)$ and depth $O(\log n)$.


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Definition (of matrix M)
We define an explicit $n \times n$ matrix $M$ as $M=\sum_{m \in\left\{x_{0}, x_{1}\right\} n^{2}} A_{m} m$, where $D=\left\{A_{m} \mid m \in\left\{x_{0}, x_{1}\right\}^{n^{2}}\right\}$ is the deck defined before

- each entry of matrix $M$ can be expressed as

$$
M_{i j}=\left(x_{0}+x_{1}\right)^{(i-1) n+j-1} \cdot x_{1} \cdot\left(x_{0}+x_{1}\right)^{n^{2}-((i-1) n+j)} .
$$

Theorem
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\begin{aligned}
& O_{1}=M_{1, *} \cdot Y \quad O_{n}=M_{n, *} \cdot Y \\
& \begin{array}{llllll}
y_{1} & y_{2} & y_{3} & \cdots & & y_{n}
\end{array} \\
& \text { depth }=O(\log n) \\
& \text { size }=O(n) \\
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\end{aligned}
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- By Valiant's graph-theoretic argument, in the circuit $C$ there is a set of gates $V$ of cardinality $s=\frac{c_{1} n}{\log \log n}=o(n)$ such that at least $n^{2}-n^{1+\delta}$, for $\delta<1$, input-output pairs have all their paths going through $V$.
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- Thus, we can write $M=B_{1} B_{2}+E$, where
- $B_{1} \in \mathbb{F}^{n \times s}\left\langle x_{0}, x_{1}\right\rangle$ and
- $B_{2} \in \mathbb{F}^{s \times n}\left\langle x_{0}, x_{1}\right\rangle$
- $E \in \mathbb{F}^{n \times n}\left\langle x_{0}, x_{1}\right\rangle$ and $|\operatorname{supp}(E)| \leq n^{1+\delta}$
- Write matrices $M, E$ and $B_{1} B_{2}$ as a polynomial with matrix coefficients.


## Example:

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\left(\begin{array}{cc}
6 x_{0}+x_{1} & x_{0} \\
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\end{array}\right)=\left(\begin{array}{ll}
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- $M=\sum_{m \in\left\{x_{0}, x_{1}\right\} n^{2}} A_{m} \cdot m$, where $A_{m} \in \mathcal{A}$
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## Summary:-

- We have shown lower bounds for
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Thank you.

## Linear Circuits over Rings, contd

Lemma
The deck $\mathcal{A}=\left\{A_{m} \mid m \in\left\{x_{0}, x_{1}\right\}^{n^{2}}\right\}$ is an explicit rigid deck for any field $\mathbb{F}$.

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- Valiant showed that almost all $n \times n 0-1$ matrices $A$ over any field $\mathbb{F}$ have rigidity $\rho_{r}(A)=\Omega\left(\frac{(n-r)^{2}}{\log n}\right)$ for target rank $r$.
- In particular, for $r=\epsilon \cdot n$, over any field $\mathbb{F}$, there is a $0-1$ matrix $R$ for which we have $\rho_{r}(R) \geq \frac{\delta \cdot n^{2}}{\log n}$ for some constant $\delta>0$ depending on $\epsilon$.


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- We claim that for the deck $\mathcal{A}$ we have $\rho_{\epsilon n}(\mathcal{A}) \geq \frac{\delta \cdot n^{2}}{\log n}$.
- To see this, $S \subseteq[n] \times[n]$ such that $|S|<\frac{\delta n^{2}}{\log n}$
- Let $E=\left\{E_{m} \in \mathbb{F}^{n \times n} \mid m \in\left\{x_{0}, x_{1}\right\}^{n^{2}}\right\}$ be any collection of matrices such that:
- $\operatorname{supp}\left(E_{m}\right) \subseteq S$
- Thus, we have for each $m,\left|\operatorname{supp}\left(E_{m}\right)\right|<\frac{\delta n^{2}}{\log n}$
- Since the deck $\mathcal{A}$ contains all 0-1 matrices, in particular $R \in \mathcal{A}$ and $R=A_{m}$ for some monomial $m$.
- From the rigidity of $R$ we know that the rank of $R+E_{m}$ is at least $\epsilon$.

This proves the claim and the lemma follows.

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- Thus, we can write $B_{m}=\sum_{j=1}^{\ell} B_{m, j, 1} B_{m, j, 2}$, where
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