# On Emptiness and Membership Problems for Set Automata 

Alexander Rubtsov ${ }^{1,2} \quad$ Mikhail Vyalyi ${ }^{3,1,2}$<br>arubtsov@hse.ru<br>vyalyi@gmail.com<br>${ }^{1}$ Higher School of Economics<br>${ }^{2}$ Moscow Institute of Physics and Technology<br>${ }^{3}$ Dorodnicyn Computing Centre, FRC CSC RAS

CSR1

## Set Automata

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## Set Automata

## Formal Definition

Set automaton $M$ is defined by the tuple

$$
M=\left\langle S, \Sigma, \Gamma, \triangleleft, \delta, s_{0}, F\right\rangle, \text { where }
$$

- $S$ is the finite set of states;
- $\Sigma$ is the alphabet of the input tape;
- $\Gamma$ is the alphabet of the work tape;
- $\triangleleft \notin \Sigma$ is the right endmarker;
- $s_{0} \in S$ is the initial state;
- $F \subseteq S$ is the set of accepting states;
- $\delta$ is the transition relation:

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\delta: S \times(\Sigma \cup\{\varepsilon, \triangleleft\}) \times\left[S \times\left(\Gamma^{*} \cup\{\text { in }, \text { out }\}\right) \cup S \times\{\text { test }\} \times S\right]
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## Known Results for DSA

M. Kutrib, A. Malcher, M. Wendlandt, 2014

| Decidability Properties |  |  |  |
| :---: | :---: | :---: | :---: |
|  | DSA | CFL | DCFL |
| $L \stackrel{?}{=} \varnothing$ | + | + | + |
| $L \stackrel{?}{\oplus}$ REG | + | - | + |
| $L \stackrel{?}{=} R$ | + | - | + |
| $\|L\| \stackrel{?}{<} \infty$ | + | + | + |

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Closure Properties

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| :---: | :---: | :---: | :---: |
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| $L_{1} \cup L_{2}$ | - | + | - |
| $L_{1} \cap L_{2}$ | - | - | - |
| $\Sigma^{*} \backslash L$ | + | - | + |
| $L \cup R$ | + | + | + |
| $L \cap R$ | + | + | + |

$R$ stands for a regular language.

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| $\Sigma^{*} \backslash L$ | + | - | + |
| $L \cup R$ | + | + | + |
| $L \cap R$ | + | + | + |

$R$ stands for a regular language.
Theorem
The classes DCFL and DSA are incomparable.

## Known results for SA

 A.R., M.V. DLT-2017
## Complexity Results

- DSA $\subseteq \mathbf{P}$
- $\mathrm{SA} \subseteq \mathbf{N P}$
- Emptiness (SA) is PSPACE-hard
- Emptiness (SA) is NP-hard for 1-ry alphabet of the work tape
- There are P- and NP-complete languages.


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K. J. Lange and K. Reinhardt introduced similar model in 1996.

- Only test operation
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## Remark

They obtained similar complexity results, but the clue difference is $\varepsilon$-moves.

## Main results

- Emptiness (SA)
- PSPACE-hard (A.R., M.V., [DLT'17])
- in PSPACE (this work)
$\uparrow$ Membership (SA)
- Membership (DSA, 1-ry alphabet of the work tape)
- PSPACE-hard (this work)*
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* Improves the result [DLT'17]:
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## Main result

Membership and Emptiness problems are PSPACE-complete even for DSA with 1-ry alphabet of the work tape.

## Membership (DSA) is PSPACE-hard

TM simulation by DSA

$$
k=3
$$



DSA input tape doesn't matter

$$
(3,(1, q), \ldots)
$$

Set content


## Membership and Emptiness

## Proposition

Membership is polynomially time reduced to non-Emptiness:

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L\left(M^{\prime}\right)=L(M) \cap\{w\}
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*All results hold even for DSA with 1-ry alphabet of the work tape!

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- We fix a language $F \subseteq \Sigma^{*}$, which we call the filter.


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Defnition

$$
\operatorname{NRR}(F)=\{\mathcal{A} \mid \mathcal{A} \in \operatorname{NFA}, L(\mathcal{A}) \cap F \neq \varnothing\}
$$

# non-Emptines v.s. Regular Realizability Problem 

Lemma (A.R., M.V. DLT'17)
non-Emptiness problem is equivalent to the NRR-problem:

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\operatorname{NRR}\left(L\left(M^{\prime}\right)\right) \leqslant_{\log }(L(M) \stackrel{?}{\neq \varnothing)}
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\operatorname{NRR}\left(L\left(M^{\prime}\right)\right) \leqslant_{\log }\left(L(M) \stackrel{?}{\neq \varnothing} \leqslant_{\log } \operatorname{NRR}(\mathrm{SA}-\mathrm{PROT})\right.
$$

*We define language SA-PROTof correct protocols on the next slide

## Protocols

## Definition

- A protocol - is a word of form

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\# u_{1} \# \mathrm{op}_{1} \# u_{2} \#_{\mathrm{op}_{2}} \# \cdots \# u_{n} \# \mathrm{op}_{n}
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- SA-PROT is the language of all correct protocols over the alphabet of the work tape $\Gamma=\{a, b\}$.


## Correctness and Support



## Lemma

A protocol is correct iff each test+ segment is supported and each test-segment is either supported or standalone.

## NRR-problem: Steps of the Solution

Protocols' transformation

$$
q_{0} \xrightarrow[L_{q_{0}, q_{1}}]{\# u_{1} \# \mathbf{i n}} q_{1} \xrightarrow[L_{q_{1}, q_{2}}]{\# u_{2} \# \mathbf{i n}} q_{2} \xrightarrow[L_{q_{2}, q_{3}}]{\# u_{3} \# \text { test+ }} q_{3} \xrightarrow[L_{q_{3}, q_{4}}^{\# u_{4} \# \mathbf{o u t}}]{L_{q_{4}, q_{5}}} q_{4} \xrightarrow[L_{q_{5}, q_{6}}^{\# u_{5} \# \text { test- }}]{L_{5}} q_{6} \xrightarrow{\# u_{6} \# \text { test- }} q_{6}
$$

## NRR-problem: Steps of the Solution

$$
q_{0} \xrightarrow[L_{q_{0}, q_{1}}]{\# u_{1} \# \text { in }} q_{1} \xrightarrow[L_{q_{1}, q_{2}}^{\# u_{2} \# \text { in }}]{L_{q_{2}, q_{3}}} q_{2} \xrightarrow[L_{a_{3}, q_{4}}^{\# u_{3} \# \text { test+ }}]{L_{a_{4}, q_{5}}} q_{3} \xrightarrow[L_{q_{5}, q_{6}}^{\# \text { out }}]{L_{4}} q_{6}
$$

$$
\Downarrow
$$

$$
q_{0} \xrightarrow[L_{q_{0}, q_{1}}]{\# u_{1}^{\prime} \# \mathbf{i n}} q_{1} \xrightarrow[L_{q_{1}, q_{2}}^{\# u_{2}^{\prime} \# \mathbf{i n}}]{\rightarrow} q_{2} \xrightarrow[L_{q_{2}, q_{3}}]{\# u_{3}^{\prime} \# \text { test+ }} q_{3} \xrightarrow[L_{a_{3}, q_{4}}^{\# u_{4}^{\prime} \# \mathbf{o u t}}]{\longrightarrow} q_{4} \xrightarrow[L_{q_{4}, q_{5}}]{\# u_{5}^{\prime} \# \text { test- }} q_{5} \xrightarrow[L_{q_{5}, q_{6}}^{\# u_{6}^{\prime} \# \text { test- }}]{L_{6}} q_{6}
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$$
\checkmark u_{i}, u_{i}^{\prime} \in L_{q_{i-1}, q_{i}}
$$

$\checkmark|\mathbb{S}|$ is small at each step

PSPACE algorithm guesses and verifies the modified protocol.

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Verification of the correctness
stable stable unstable unstable unstable


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Stable words belong to small languages
Unstable words don't

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Verification of the correctness

$$
q_{0} \xrightarrow[L_{q_{0}, q_{1}}]{\# u_{1} \# \mathbf{i n}} q_{1} \xrightarrow[L_{q_{1}, q_{2}}]{\# u_{2} \# \mathbf{i n}} q_{2} \xrightarrow[L_{q_{2}, q_{3}}]{\# u_{3} \# \text { test+ }} q_{3} \xrightarrow[L_{q_{3}, q_{4}}^{\# u_{4} \# \text { out }}]{L_{q_{4}, q_{5}}} q_{4} \xrightarrow[L_{q_{5}, q_{6}}^{\# u_{5} \# \text { test- }}]{L_{5}} q_{6}
$$



Stable words belong to small languages
Unstable words don't

## NRR-problem: Steps of the Solution

Protocols' transformation

$$
q_{0} \xrightarrow[L_{q_{0}, q_{1}}]{\# u_{1}^{\prime \#} \mathbf{n}} q_{1} \xrightarrow[L_{q_{1}, q_{2}}]{\# u_{2}^{\prime \# \mathbf{n}}} q_{2} \xrightarrow[L_{q_{2}, q_{3}}]{\# u_{3}^{\prime \# \text { test+ }}} q_{3} \xrightarrow[L_{q_{3}, q_{4}}]{\# u_{4}^{\prime \# \mathbf{u t}}} q_{4} \xrightarrow[L_{q_{4}, q_{5}}]{\# u_{5}^{\prime} \# \text { test- }} q_{5} \xrightarrow[L_{q_{5}, q_{6}}]{\# u_{6}^{\prime} \# \text { test- }} q_{6}
$$

Each $L_{q_{i-1}, q_{i}}$ is either large or small.

We replace $u_{i}$ by $u_{i}^{\prime}$ such a way that

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## NRR-problem: Steps of the Solution

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- all $u_{i}^{\prime} \notin \mathbb{S}$ for $\# u_{i} \#$ test- and $\# u_{i} \#$ out if $u_{i}$ is unstable
- for each large $L_{q_{i}, q_{j}}$ there is at most one word in $\mathbb{S}$.


## NRR-problem: Steps of the Solution

## Another problem

- $u_{i}$ may be long.


## NRR-problem: Steps of the Solution

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- $u_{i}$ may be long. So we describe $u_{i}$ by the language $R_{i}$ :

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R_{l}=\bigcap_{k \in I} R_{k} \cap \bigcap_{k \notin I} \overline{R_{k}}, \quad I \subseteq\{1,2, \ldots, N\} .
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## NRR-problem: Steps of the Solution

## Another problem

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R_{I}=\bigcap_{k \in I} R_{k} \cap \bigcap_{k \notin I} \overline{R_{k}}, \quad I \subseteq\{1,2, \ldots, N\} .
$$

- There are exponentialy many I, but not in the modified protocol since the number of unstable words is small.


## The Plan is Complete

$\checkmark$ Membership is PSPACE-hard
$\checkmark$ Membership $\leqslant \mathbf{p}$ non-Emptines
$\checkmark \uparrow$ non-Emptiness is PSPACE-hard
$\checkmark$ non-Emptiness in PSPACE
$\checkmark \uparrow \uparrow$ Emptiness is PSPACE-complete
$\checkmark \uparrow \uparrow$ Membership is PSPACE-complete

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## Thank you!

