Lower Bounds for Unrestricted Boolean Circuits: Open Problems Alexander S. Kulikov

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#### **Computing Boolean Functions**

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- $g_2 = x_2 \wedge x_3$
- $\boldsymbol{g}_3 = \boldsymbol{g}_1 \vee \boldsymbol{g}_2$
- $\boldsymbol{g}_4 = \boldsymbol{g}_2 \vee 1$
- $\boldsymbol{g}_5 = \boldsymbol{g}_3 \equiv \boldsymbol{g}_4$

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#### **Fundamental Question**

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Does there exist an infinite sequence of functions  $f_1, f_2, ...$  such that  $f_n$  has ninputs,  $\bigcup_{n=1}^{\infty} f_n^{-1}(1) \in NP$ , and  $f_n$ requires superpoly(n) gates? (This would mean that  $P \neq NP$ )

#### **Exponential Bounds**

#### Lower Bound

Counting shows that almost all functions of *n* variables have circuit size  $\Omega(2^n/n)$  [S49]

#### Upper Bound

Any function can be computed by circuits of size  $(1 + o(1))2^n/n$  [L58]

#### **Explicit Lower Bounds**

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What can we prove for explicit functions? What about restricted circuit classes?

#### Remainder of the Talk

(Very brief) Overview of known lower bounds for restricted circuits

 (Brief) Overview of various approaches that could potentially lead to improved lower bounds for unrestricted circuits

#### Restricted classes: constant depth circuits



 depth: constant, fan-in: unbounded
 exponential lower bounds: switching lemma [A83, FSS84, Y85, H86, R95], approximating polynomials [RS87] Restricted classes: monotone circuits

■ fanin: 2 fanout: unbounded operations: {∧, ∨}

exponential lower bounds: method of approximations [R85, A85, AB87]



#### Restricted classes: formulas

fanin: 2, fanout: 1  $\square$   $n^2$ ,  $n^3$  lower bounds: random restrictions, universal functions, formal complexity measures [S61, N66, K71, A85, IN93, PZ93, H981



 $(\mathbf{X}_1 \oplus \mathbf{X}_2) \lor (\mathbf{X}_3 \land \mathbf{X}_4)$ 

#### Restricted vs Unrestricted

#### **Restricted circuits**

lower bounds:  $n^3$ ,  $2^{n^{1/8}}$ ,  $2^{n-o(n)}$ 

#### many beautiful techniques are known



Restricted vs Unrestricted

**Restricted circuits** 

lower bounds:  $n^3$ ,  $2^{n^{1/8}}$ ,  $2^{n-o(n)}$ 

many beautiful techniques are known



#### **Unrestricted circuits**

lower bounds: 2*n*, 2.5*n*, 3*n* 

just one simple technique is known





# *"This may seem quite depressing. It is."*

Saxena, Seshadhri, 2010. From Sylvester–Gallai Configurations to Rank Bounds: Improved Blackbox Identity Test for Depth-3 Circuits



- 1. Gate Elimination
- 2. Multi-Output Functions
- 3. Non-Gate-Elimination Lower Bounds
- 4. Symmetric Functions
- 5. Satisfiability Algorithms
- 6. Mass Production
- 7. Logarithmic Depth Circuits

#### Outline

#### 1. Gate Elimination

*How to prove, say, a* 3*n lower bound for a Boolean function f*?

- 2. Multi-Output Functions
- 3. Non-Gate-Elimination Lower Bounds
- 4. Symmetric Functions
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#### Gate Elimination Method

Show that f is resistant to about n substitutions

Show that one can always find a substitution eliminating at least 3 gates

#### Lower Bounds

- The currently best known lower bound  $(3 + \frac{1}{86}) n$  is proved by gate elimination [FGHK16]
- The corresponding function *f* is affine disperser for sublinear dimension: *f* is non-constant on any affine subspace of {0,1}<sup>n</sup> of large enough dimension
- Explicit constructions of such functions were found relatively recently [BK12]

#### Linear Size Circuits for Affine Dispersers

All other functions used in lower bounds proofs (2*n*, 2.5*n*, 3*n*) have linear circuit size (at most 6*n*)

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**Open problem:** Do there exist affine dispersers for sublinear dimension of linear circuit size?

#### Quadratic Dispersers

**Open problem:** Construct an explicit "quadratic" disperser *f* (even in NP, even with o(n) outputs) that is not constant on any set  $S \subseteq \{0,1\}^n$  of size at least  $2^{n/100}$  that can be defined as

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$$S = \{x : p_1(x) = \cdots = p_{2n}(x) = 0\}, \operatorname{deg}(p_i) \leq 2.$$

This will give an improved lower bound (about 3.1*n*) [GK16]

#### Limitations of Gate Elimination

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- Formally, there exist circuits such that any substitution of the form *x* ← *g*, where *g* is an arbitrary function, removes no more than five gates from the circuit [GHkk16]. Therefore, one definitely needs new ideas to get something stronger than 5*n*

#### Outline

### Gate Elimination Multi-Output Functions

## Can one prove stronger lower bounds for functions with multiple outputs?

- 3. Non-Gate-Elimination Lower Bounds
- 4. Symmetric Functions
- 5. Satisfiability Algorithms
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**Open problem:** How to prove a 5*n* lower bound for an *n*-to-*n* function?

#### Outline

- 1. Gate Elimination
- 2. Multi-Output Functions
- 3. Non-Gate-Elimination Lower Bounds

Are there approaches other than gate elimination for proving lower bounds for unrestricted circuits?

- 4. Symmetric Functions
- 5. Satisfiability Algorithms
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- C(AND, OR) = 2*n* − 2, idea: circuit reconstruction [BS84]
- C(Ax) = 2n o(n), idea: locating branching gates, wire counting [C94]

**Open problem:** Can any of these non-gate-elimination methods be extended to get stronger than 2*n* lower bounds?

#### Outline

- 1. Gate Elimination
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- 4. Symmetric Functions

*Can one prove a superlinear lower bound for a symmetric function?* 

- 5. Satisfiability Algorithms
- 6. Mass Production
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#### Symmetric Functions

While basic symmetric functions like parity, MOD<sub>3</sub>, and majority are used to prove superpolynomial lower bounds in, e.g., constant depth circuit model, any symmetric function can be computed by a circuit of size 4.5n + o(n) [DKKY10]

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#### **Open problem:** What is *C*(SUM<sub>*n*</sub>)?

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- 4. Symmetric Functions
- 5. Satisfiability Algorithms

Given a circuit, how hard is it to find an assignment making this circuit to output 1?

6. Mass Production
 7. Logarithmic Depth Circuits

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**Open problem:** Do non-trivial satisfiability algorithms for circuits of size *cn* imply *cn* circuit lower bounds?

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Can one take a function of 20 bits of circuit size 100 and cook out of it a family of functions of circuit size 5n?

7. Logarithmic Depth Circuits

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But we don't know how to prove this!

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**Open problem:** What are the functions avoiding mass production effect?

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Can we at least prove superlinear lower bounds on circuits of logarithmic depth?

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**Open problem:** Improve  $2^{\sqrt{n}}$  lower bound for depth three circuits.

#### Constant Depth Circuits

Lower bounds of the form 2<sup>n/k</sup> are known for OR o AND o OR<sub>k</sub> circuits (i.e., OR of k-CNFs) [PSZ97]

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**Open problem:** Can one convert a circuit with *s* gates into a, say,  $OR_{2\frac{5}{4}} \circ AND \circ OR_2$  formula?

#### Summary of Open Problems

1. Prove that there exists an affine disperser of linear circuit size!

- 2. Construct an explicit quadratic disperser!
- 3. Prove a 5*n* lower bound for an *n*-to-*n* function!
- 4. Prove 3*n* lower bound without gate elimination!
- 5. Find *C*(SUM<sub>*n*</sub>)!
- 6. Prove that faster than brute force SAT algorithm for circuits of size *cn* imply *cn* circuit lower bounds!

7. Construct functions avoiding mass production effect!

8. Convert lower bounds for depth-3 circuits to lower bounds for unrestricted circuits!

#### Thank you!