

Subtraction-free complexity and cluster algebras

(joint work with S. Fomin, G. Koshevoy)

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Complexity of rational functions with respect to a subset of arithmetic operations

Let $M \subset \{+, -, \times, /\}$. Complexity $C_M(f)$ for a rational function $f \in \mathbb{Q}(X_1, \dots, X_n)$ is defined as the minimal number of operations from M necessary to compute f , provided it is finite.

Problem. For given $M \subset M_1 \subset \{+, -, \times, /\}$ how big can be $C_M(f)$ in comparison with $C_{M_1}(f)$?

This problem is non-trivial just for three pairs of $M \subset M_1$.

Theorem

$C_{+,-,\times}(f) \leq O(C_{+,-,\times, /}(f) \cdot \deg(f))$
for a polynomial f (N. Strassen, 1973)

("ring complexity" $\leq O$ ("field complexity" $\cdot \deg$))

Question. Is the bound sharp for big $\deg(f)$?

Corollary

$C_{+,-,\times}(\det) \leq O(n^4)$ (D. K. Faddeev, V. N. Faddeeva, 1960)

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- $C_{+, \times, /}(g)$ can be exponentially bigger than $C_{+, -, \times, /}(g)$.

L. Valiant, 1980 has constructed a polynomial h (with positive coefficients) such that $C_{+, \times}(h)$ is exponentially bigger than $C_{+, -, \times, /}(h)$. Funny consequence: at least one of two above • results holds. But which one?: A growth of $C_{+, \times, /}(h)$ is not known.

Subtraction-free computations have a meaning in numerical analysis: if a computation deals with positive numbers c given with a relative error, i. e. $(1 - \epsilon) \cdot b < c < (1 + \epsilon) \cdot b$ where ϵ is a relative error then one can estimate easily relative error after operations $+$, \times , $/$.

Polynomial in n variables is called *numerically positive* if it is positive everywhere on the positive orthant $(0, \infty)^n$.

Theorem. Polynomial f has a finite subtraction-free complexity $C_{+, \times, /}(f) < \infty$ iff for each face P of its Newton polytope the restriction $f|_P$ is numerically positive (**Handelman, 1985**).

Corollary. Subtraction-free complexity is computable.

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Cluster algebras

Consider $n \times n$ matrix $X = (X_{ij})$ with variable entries. For a set $I \subset \{1, \dots, n\}$ of size $|I| = k$ denote by Δ_I the determinant of $k \times k$ submatrix of X formed by first k rows and by columns $i \in I$. Then the **flag algebra** $F[\{\Delta_I\}_I]$ is the ring of regular functions on the flag variety.

Clusters are special families of flag minors Δ_I (being bases of the flag algebra). To describe clusters define relation $I \prec J$ for $I, J \subset \{1, \dots, n\}$ if for any pair $i \in I \setminus J, j \in J \setminus I$ we have $i < j$. We say that I, J are **strongly separated** if either $I \prec J$ or $J \prec I$. Cluster is a maximal (wrt inclusion) family of pairwise strongly separated flag minors (excluding $I = \{1, \dots, n\}$). Each cluster contains $n(n+1)/2 - 1$ flag minors (**Fomin-Zelevinsky**).

Shorthand: for $j \notin I$ denote $Ij =: I \cup \{j\}$.

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Consider $n \times n$ matrix $X = (X_{ij})$ with variable entries. For a set $I \subset \{1, \dots, n\}$ of size $|I| = k$ denote by Δ_I the determinant of $k \times k$ submatrix of X formed by first k rows and by columns $i \in I$. Then the **flag algebra** $F[\{\Delta_I\}_I]$ is the ring of regular functions on the flag variety.

Clusters are special families of flag minors Δ_I (being bases of the flag algebra). To describe clusters define relation $I \prec J$ for $I, J \subset \{1, \dots, n\}$ if for any pair $i \in I \setminus J, j \in J \setminus I$ we have $i < j$. We say that I, J are **strongly separated** if either $I \prec J$ or $J \prec I$. Cluster is a maximal (wrt inclusion) family of pairwise strongly separated flag minors (excluding $I = \{1, \dots, n\}$). Each cluster contains $n(n+1)/2 - 1$ flag minors (Fomin-Zelevinsky).

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Plücker relations: $\Delta_{lik} \cdot \Delta_{lj} = \Delta_{lij} \cdot \Delta_{lk} + \Delta_{ljk} \cdot \Delta_{li}$, $i, j, k \notin l$; $i < j < k$

Clusters form a directed acyclic **cluster graph**: there is an edge from one cluster to another if they are, respectively, of the types

$$\{\Delta_{lik}, \Delta_{lij}, \Delta_{lk}, \Delta_{ljk}, \Delta_{li}, \dots\};$$
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Cluster graph is graded according to the sum of sizes $|I|$. A birational (with integer positive coefficients) transformation from one cluster to another (along an edge or back) is called a **flip**. Cluster graph has the unique minimal element corresponding to I being all the proper intervals of $\{1, \dots, n\}$ and the unique maximal element corresponding to all the complements of the proper intervals.

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Totally positive matrices

As a consequence from cluster transformations we conclude that for a real matrix if all the interval flag minors are positive then all flag minors are positive as well.

A real matrix is called *totally positive* if all its minors are positive. Cluster transformation entail that for total positivity it suffices to verify positivity of minors formed by sets of rows I and of columns J for all pairs of intervals $I, J \subset \{1, \dots, n\}$.

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Cubic subtraction-free complexity of Schur polynomials

Substitute for the entries of the matrix $X_{ij} = x_i^j$. Then $\Delta := \Delta_{\{1, \dots, k\}} = \prod_{1 \leq i < j \leq k} (x_j - x_i)$ is Vandermonde determinant. The quotient $S_I = \Delta_I / \Delta$ is a **Schur polynomial** having integer positive coefficients (called **Kostka numbers** being $\#P$ -hard to compute).

Since for an interval $I = [i, i+1, \dots, j]$ the interval Schur polynomial is the monomial $S_I = (x_1 \cdots x_{j-i+1})^i$ being easy to compute, we get

Corollary

Subtraction-free complexity of a Schur polynomial
 $C_{+, \times, /}(S_I) \leq O(n^3 \cdot \log n)$.

This does not yet imply an exponential gap between $C_{+, \times, /}$ and $C_{+, \times}$ because we don't know a lower bound on the complexity $C_{+, \times}(S_I)$. To establish this gap we proceed to another class of cluster transformations.

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This does not yet imply an exponential gap between $C_{+, \times, /}$ and $C_{+, \times}$ because we don't know a lower bound on the complexity $C_{+, \times}(S_I)$. To establish this gap we proceed to another class of cluster transformations.

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Substitute for the entries of the matrix $X_{ij} = x_i^j$. Then

$\Delta := \Delta_{\{1, \dots, k\}} = \prod_{1 \leq i < j \leq k} (x_j - x_i)$ is Vandermonde determinant. The quotient $S_I = \Delta_I / \Delta$ is a **Schur polynomial** having integer positive coefficients (called **Kostka numbers** being $\#P$ -hard to compute).

Since for an interval $I = [i, i + 1, \dots, j]$ the interval Schur polynomial is the monomial $S_I = (x_1 \cdots x_{j-i+1})^i$ being easy to compute, we get

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$$C_{+,x, /}(\phi(G)) \leq O(n^3).$$

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Polya (1923): any homogeneous polynomial $h \in \mathbb{R}[x_1, \dots, x_n]$ positive everywhere on the non-negative orthant $\mathbb{R}_{\geq 0}^n \setminus \{0\}$ can be represented as a fraction $P/(x_1 + \dots + x_n)^N$ for suitable integer N and a polynomial P with positive coefficients.

Example: $x^2 - xy + y^2 = (x^3 + y^3)/(x + y)$.

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For any representation $h_n := (t - x_1)^4 + (x_1 - 2x_2)^4 + (x_2^2 - tx_3)^2 + (x_3^2 - tx_4)^2 + \dots + (x_{n-1}^2 - tx_n)^2 + 2x_n^4 + 4x_n^2(t - x_1)^2 = P/Q$ where P, Q being polynomials with positive coefficients we have $\deg(Q) > 2^{c2^n}$ for some constant $c > 0$.

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