#### Lower Bounds for Monotone Models (by Algebraic Gaps)

Stephen A. Cook Toniann Pitassi **Robert Robere** Benjamin Rossman

University of Toronto

St. Petersburg 2016

Consider the corresponding (polynomial-size) circuit models capturing the classes.

# $\operatorname{NC}^1 \subseteq \operatorname{L} \subseteq \operatorname{NL} \subseteq \operatorname{NC} \subseteq \operatorname{P}$ Formulas $\operatorname{NC}^1(f) = \text{formula size of f}$

Switching Networks (Branching Programs)  $\mathsf{NC}^1 \subseteq \mathsf{L} \subseteq \mathsf{NL} \subseteq \mathsf{NC} \subseteq \mathsf{P}$ 



### Switching Networks (Branching Programs) $\mathsf{NC}^1 \subset \mathsf{L} \subset \mathsf{NL} \subset \mathsf{NC} \subset \mathsf{P}$

Formulas Directed Switching Networks (Non-det. Branching Programs)





How many separations do we have?

How many separations do we have?



Fortunately, this is easy to fix.

Fortunately, this is easy to fix.

Fortunately, this is easy to fix.

 $\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP}$ 

Monotone = No Negations in Circuit Models

How did this picture come about?

### $\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP}$

Karchmer-Wigderson '88 (Undirected st-connectivity)

### $\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP}$

Karchmer-Wigderson '88 (Undirected st-connectivity) Raz-Mckenzie '97 (GEN)

Potechin '10 (Directed st-connectivity)

### $\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP}$

Karchmer-Wigderson '88 (Undirected st-connectivity) Raz-Mckenzie '97 (GEN)

#### There is a complexity measure which can lower bound all of these models!

$$f: \{0,1\}^n \to \{0,1\}$$

$$f: \{0,1\}^n \to \{0,1\}$$

#### f monotone

$$f: \{0,1\}^n \to \{0,1\}$$
 f monotone  
 $f^{-1}(0)$  f monotone  
 $f^{-1}(1)$ 

$$f: \{0,1\}^n \to \{0,1\} \qquad \qquad f \text{ monotone}$$

$$f^{-1}(0) \qquad \qquad f^{-1}(1) \qquad \qquad f \text{ Matrix}$$

$$f: \{0,1\}^n \to \{0,1\}$$

$$f^{-1}(0)$$

$$A$$
Matrix
$$f^{-1}(1)$$
Not Communication
Matrix

f monotone

$$f: \{0,1\}^n \to \{0,1\}$$
 f monotone  
 $f^{-1}(0)$  f monotone  
 $f^{-1}(1)$ 





$$f: \{0,1\}^n \to \{0,1\} \qquad f \text{ monotone} \\ f^{-1}(0) \qquad A \upharpoonright R_i \\ f^{-1}(1) \qquad A \upharpoonright R_i \\ For \text{ any input i,} \\ R_i = \{(x,y) \in f^{-1}(1) \times f^{-1}(0) \mid x_i = 1, y_i = 0\}$$

$$f: \{0,1\}^n \to \{0,1\} \qquad \qquad f \text{ monotone}$$

$$f^{-1}(0) \qquad \qquad A \uparrow R_i$$

$$f^{-1}(1) \land A \uparrow R_j$$

$$f: \{0,1\}^n \to \{0,1\} \qquad f^{-1}(0)$$

$$f^{-1}(1) \qquad A \upharpoonright R_j$$

$$f^{-1}(1) \qquad A \upharpoonright R_j$$

monotone

**32** 

$$f: \{0,1\}^n \to \{0,1\} \qquad f \text{ monotone} \\ f^{-1}(0) \qquad A \land A \upharpoonright R_i \\ f^{-1}(1) \qquad A \land A \upharpoonright R_i \\ For any input i, \\ R_i = \{(x,y) \in f^{-1}(1) \times f^{-1}(0) \mid x_i = 1, y_i = 0\}$$



Ra

$$\frac{nk \text{ Measure}}{\mu_A(f)} = \frac{\operatorname{rank}(A)}{\max_{i \in [n]} \operatorname{rank}(A \upharpoonright R_i)}$$

**Theorem** [Razborov '90, KW '90, Gal '98]**:** For any field **F**, any boolean function f, and any matrix A over **F**,

 $\mu_A(f) \le \mathsf{mSPAN}_{\mathbf{F}}(f) \le \mathsf{mL}(f) \le \mathsf{mNC}^1(f)$
Ra

$$\frac{nk \text{ Measure}}{\mu_A(f)} = \frac{\operatorname{rank}(A)}{\max_{i \in [n]} \operatorname{rank}(A \upharpoonright R_i)}$$

**Theorem** [Razborov '90, KW '90, Gal '98]**:** For any field **F**, any boolean function f, and <u>any matrix A</u> over **F**,

 $\mu_A(f) \le \mathsf{mSPAN}_{\mathbf{F}}(f) \le \mathsf{mL}(f) \le \mathsf{mNC}^1(f)$ 

**Rank Measure** [Razborov '90]:  
$$\mu_A(f) = \frac{\operatorname{rank}(A)}{\max_{i \in [n]} \operatorname{rank}(A \upharpoonright R_i)}$$

#### **Best prior lower bounds:**

 $N^{\Omega(\log N)}$  for a monotone function in NP. [Razborov '90]

**Rank Measure** [Razborov '90]:  
$$\mu_A(f) = \frac{\operatorname{rank}(A)}{\max_{i \in [n]} \operatorname{rank}(A \upharpoonright R_i)}$$

**<u>Theorem</u>**: There is a function f in **mP** and a matrix A such that  $\mu_A(f) \ge 2^{\Omega(N^{\varepsilon})}$ 

**Rank Measure** [Razborov '90]:  
$$\mu_A(f) = \frac{\operatorname{rank}(A)}{\max_{i \in [n]} \operatorname{rank}(A \upharpoonright R_i)}$$

**<u>Theorem</u>**: There is a function f in **mP** and a matrix A such that  $\mu_A(f) \ge 2^{\Omega(N^{\varepsilon})}$ 

There is a function g in **mNL** and a matrix B such that  $\mu_B(g) \ge N^{\Omega(\log N)}$ 

**<u>Theorem</u>**: There is a function f in **mP** and a matrix A such that  $\mu_A(f) \ge 2^{\Omega(N^{\varepsilon})}$ 

There is a function g in **mNL** and a matrix B such that  $\mu_B(g) \ge N^{\Omega(\log N)}$ 

**<u>Theorem</u>**: There is a function f in **mP** and a matrix A such that  $\mu_A(f) \ge 2^{\Omega(N^{\varepsilon})}$ 

There is a function g in **mNL** and a matrix B such that  $\mu_B(g) \ge N^{\Omega(\log N)}$ 

- Unified proof of essentially all bounds sketched earlier (in particular, a simplification of mL  $\not\subseteq$  mNL).

**<u>Theorem</u>**: There is a function f in **mP** and a matrix A such that  $\mu_A(f) \ge 2^{\Omega(N^{\varepsilon})}$ 

There is a function g in **mNL** and a matrix B such that  $\mu_B(g) \ge N^{\Omega(\log N)}$ 

- Unified proof of essentially all bounds sketched earlier (in particular, a simplification of mL  $\not\subseteq$  mNL ).
- First exponential lower bounds for monotone span programs.



# $\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP}$

# $\begin{array}{l}\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP} \\ & \mathsf{i} \cap \\ \mathsf{mSPAN}_{\mathbf{F}} \end{array}$

# $\begin{array}{l}\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP} \\ & \mathsf{I} \cap \\ \mathsf{mSPAN}_{\mathbf{F}} \not\subseteq \mathsf{mP} \end{array}$

# $mNC^1 \subsetneq mL \subsetneq mNL \subsetneq mNC \subsetneq mP$ $i \cap$ $mSPAN_F \not\subseteq mP$ [Babai et al '96] Quasipolynomial lower bounds

against mNP.

# $\begin{array}{l}\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP} \\ & \mathsf{i} \cap \\ \mathsf{mSPAN}_{\mathbf{F}} \not\subseteq \mathsf{mP} \end{array}$

- [Babai et al '96] Quasipolynomial lower bounds against mNP.
- [Gal '98] Improved lower bounds using rank measure (still quasipolynomial).

# $\begin{array}{l}\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP} \\ & \mathsf{i} \cap \\ \mathsf{mSPAN}_{\mathbf{F}} \not\subseteq \mathsf{mP} \end{array}$

- [Babai et al '96] Quasipolynomial lower bounds against mNP.
- [Gal '98] Improved lower bounds using rank measure (still quasipolynomial).

[BW '05] Quasipolynomial against nonmonotone NC

# $\begin{array}{l}\mathsf{mNC}^1 \subsetneq \mathsf{mL} \subsetneq \mathsf{mNL} \subsetneq \mathsf{mNC} \subsetneq \mathsf{mP} \\ & \mathsf{i} \cap \\ \mathsf{mSPAN}_{\mathbf{F}} \not\subseteq \mathsf{mP} \end{array}$

- [Babai et al '96] Quasipolynomial lower bounds against mNP.
- [Gal '98] Improved lower bounds using rank measure (still quasipolynomial).
  - [BW '05] Quasipolynomial against nonmonotone NC

Equivalent to Linear Secret Sharing Schemes. [KW '90]

**<u>Theorem</u>**: There is a function f in **mP** and a matrix A such that  $\mu_A(f) \ge 2^{\Omega(N^{\varepsilon})}$ 

There is a function g in **mNL** and a matrix B such that  $\mu_B(g) \ge N^{\Omega(\log N)}$ 

- Unified proof of essentially all bounds sketched earlier (in particular, a simplification of mL  $\not\subseteq$  mNL ).
- First exponential lower bounds for monotone span programs.

**<u>Theorem</u>**: There is a function f in **mP** and a matrix A such that  $\mu_A(f) \ge 2^{\Omega(N^{\varepsilon})}$ 

There is a function g in **mNL** and a matrix B such that  $\mu_B(g) \ge N^{\Omega(\log N)}$ 

- Unified proof of essentially all bounds sketched earlier (in particular, a simplification of mL  $\not\subseteq$  mNL ).
- First exponential lower bounds for monotone span programs.
- First separation between monotone span programs and mP/mNL/non-monotone span programs.

-

#### **Lifting Theorem**

(Communication Setting)

#### **Lifting Theorem**

#### (Communication Setting)

 $f: \{0,1\}^n \to \{0,1\}$ 

#### **Lifting Theorem**



Hard for Weak Complexity Measure

#### **Lifting Theorem**



Hard for Weak Complexity Measure

#### Lifting Theorem



Hard for Weak Complexity Measure Hard for Strong Complexity Measure

#### Lifting Theorem (Our Setting)



"Weak?"





63







#### **<u>Def</u>:** A search problem is a set $S \subseteq Q \times \{-1, 1, *\}^n$

**<u>Def</u>:** A search problem is a set  $S \subseteq Q \times \{-1, 1, *\}^n$ S is **total** if every input has at least one output.

**Def:** A search problem is a set  $S \subseteq Q \times \{-1, 1, *\}^n$ S is total if every input has at least one output. **Ex.** Fix an unsatisfiable CNF F. Given an assignment x, output the index of an unsatisfied clause of F.

**Def:** A search problem is a set  $S \subseteq Q \times \{-1, 1, *\}^n$ S is total if every input has at least one output. **Ex.** Fix an unsatisfiable CNF F. Given an assignment x, output the index of an unsatisfied clause of F.

**Def:** Let  $S \subseteq Q \times \{-1, 1, *\}^n$  be a total search problem. The **algebraic gap complexity**, gap(S), of S is the maximum k for which there is a polynomial  $p : \{-1, 1\}^n \to \mathbb{R}$  such that

$$\deg(p) = n, \quad \deg(p|_C) \le n - k$$

for each valid output C of S.

"Weak?"



**Algebraic Gaps** 



"Strong"
"Weak?"







A

 $f^{-1}(1)$ 

 $R_i$ 

**Search Problem** 



#### **Search Problem**

 $f: \{0,1\}^n \to \{0,1\}$ 



























# Unified lower bounds against monotone models by "lifting".

# Unified lower bounds against monotone models by "lifting".

Algebraic gaps  $\rightarrow$  other applications?

Unified lower bounds against monotone models by "lifting".

Algebraic gaps  $\rightarrow$  other applications?

Average case lower bounds?

Unified lower bounds against monotone models by "lifting".

Algebraic gaps  $\rightarrow$  other applications?

Average case lower bounds?

Sharpen lifting theorems further?

#### References

- Babai, Gal, Kollar, Ronyai, Szabo, Wigderson. Extremal bipartite graphs and superpolynomial lower bounds for monotone span programs. STOC '96.
  Gal. A characterization of span program size and improved lower bounds for
  - *monotone span programs.* STOC '98.
- Potechin. *Bounds on monotone switching networks for directed connectivity.* FOCS '10.
- Chan, Potechin. *Tight bounds for monotone switching networks via Fourier analysis.* STOC '12.
- Karchmer, Wigderson. *Monotone circuits for connectivity require superlogarithmic depth.* STOC '88.
- Karchmer, Wigderson. *On span programs.* Structure in Complexity Theory '93.
- Raz, Mckenzie. Separation of the monotone NC hierarchy. FOCS '97.
- Razborov. Applications of matrix methods to the theory of lower bounds in computational complexity. Combinatorica '90.
- Sherstov. The pattern matrix method for lower bounds on quantum communication. STOC '08.