

# CONSTRUCTING ASPECT-BASED SENTIMENT LEXICONS WITH TOPIC MODELING

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# INTRO: TOPIC MODELING AND SENTIMENT ANALYSIS

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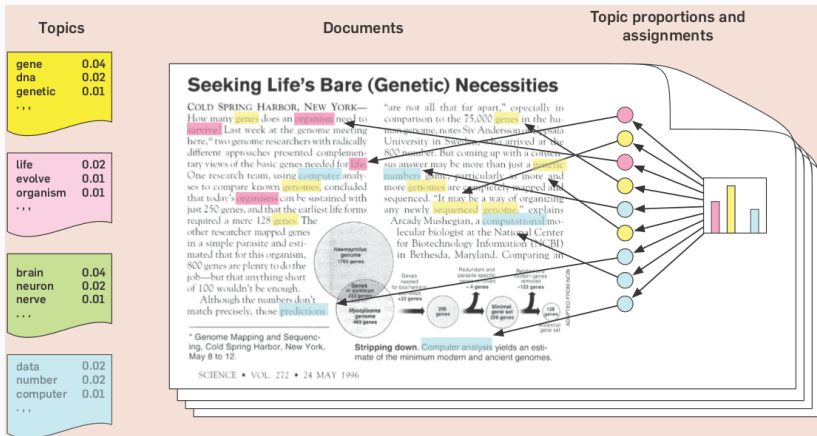
- Very brief overview of the paper:
  - we would like to do sentiment analysis;
  - there are topic model extensions that deal with sentiment;
  - but they always rely on an external dictionary of sentiment words;
  - in this work, we show a way to extend this dictionary automatically from that same topic model.

- Sentiment analysis / opinion mining:
  - traditional approaches set positive/negative labels by hand;
  - recently, machine learning models are trained to assign sentiment scores for most words in the corpora;
  - however, they can't really work totally unsupervised, and high-quality manual annotation is expensive;
  - moreover, there are different *aspects*.
- *Problem*: automatically mine sentiment lexicons for specific aspects.

- Latent Dirichlet Allocation (LDA) – *topic modeling* for a corpus of texts:
  - a document is represented as a mixture of topics;
  - a topic is a distribution over words;
  - to generate a document, for each word we sample a topic and then sample a word from that topic;
  - by learning these distributions, we learn what topics appear in a dataset and in which documents.

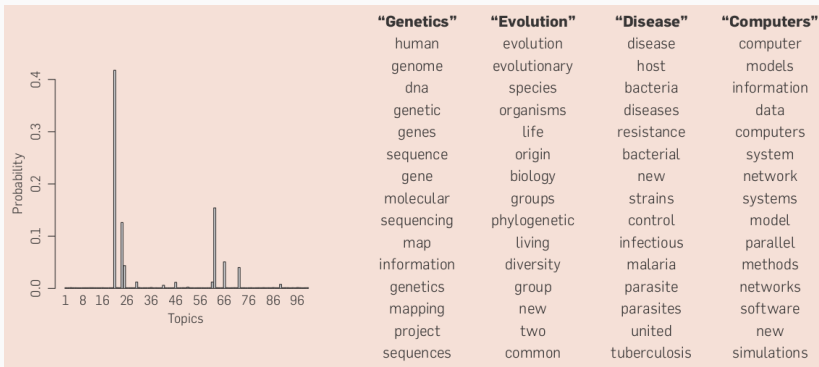
# TOPIC MODELING WITH LDA

- Sample LDA result from (Blei, 2012):



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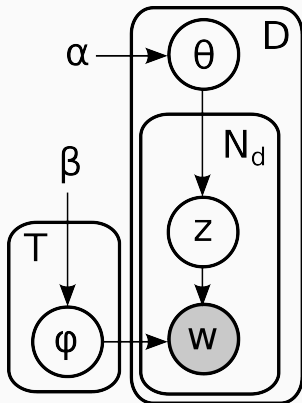


- There are two major approaches to inference in probabilistic models with a loopy factor graph like LDA:
  - *variational approximations* simplify the graph by approximating the underlying distribution with a simpler one, but with new parameters that are subject to optimization;
  - *Gibbs sampling* approaches the underlying distribution by sampling a subset of variables conditional on fixed values of all other variables.
- Both approaches have been applied to LDA.
- We will extend the Gibbs sampling.



- The total likelihood of the LDA model is

$$p(z, w, \alpha, \beta) = \int_{\theta, \varphi} p(\theta | \alpha) p(z | \theta) p(w | z, \varphi) p(\varphi | \beta) d\theta d\varphi.$$



- And in collapsed Gibbs sampling, we sample

$$p(z_j = t \mid z_{-j}, w, \alpha, \beta) \propto \frac{n_{*,t,d}^{-j} + \alpha}{n_{*,*,d}^{-j} + T\alpha} \cdot \frac{n_{w,t,*}^{-j} + \alpha}{n_{*,t,*}^{-j} + W\beta},$$

where  $z_{-j}$  denotes the set of all  $z$  values except  $z_j$ .

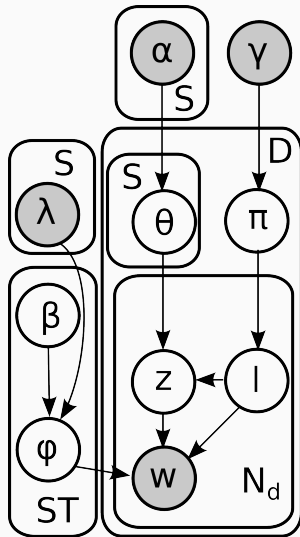
- Samples are then used to estimate model variables:

$$\theta_{td} = \frac{n_{w,t,d} + \alpha}{n_{w,*,d} + T\alpha}, \quad \varphi_{wt} = \frac{n_{w,t,*} + \beta}{n_{*,t,*} + W\beta}.$$

- There exist many LDA extensions:
  - DiscLDA: LDA for classification with a class-dependent transformation in the topic mixtures;
  - Supervised LDA: documents with a response variable, we mine topics that are indicative of the response;
  - TagLDA: words have tags that mark context or linguistic features;
  - Tag-LDA: documents have topical tags, the goal is to recommend new tags to documents;
  - Topics over Time: topics change their proportions with time;
  - hierarchical modifications with nested topics are also important.
- In particular, there are extensions tailored for sentiment analysis.

## JOINT SENTIMENT-TOPIC

- JST: topics depend on sentiments from a document's sentiment distribution  $\pi_d$ , words are conditional on sentiment-topic pairs.
- Generative process – for each word position  $j$ :
  - (1) sample a sentiment label  $l_j \sim \text{Mult}(\pi_d)$ ;
  - (2) sample a topic  $z_j \sim \text{Mult}(\theta_{d,l_j})$ ;
  - (3) sample a word  $w \sim \text{Mult}(\varphi_{l_j, z_j})$ .



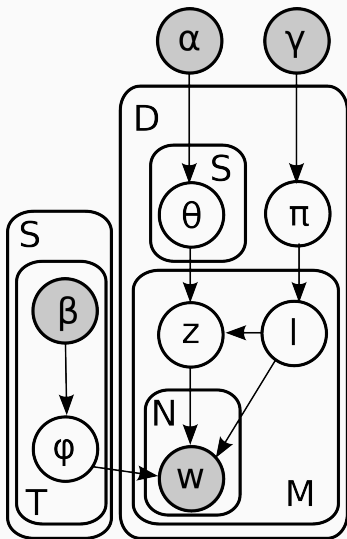
- In Gibbs sampling, one can marginalize out  $\pi_d$ :

$$p(z_j = t, l_j = k \mid z_{-j}, w, \alpha, \beta, \gamma, \lambda) \propto \frac{n_{*,k,t,d}^{-j} + \alpha_{tk}}{n_{*,k,*,d}^{-j} + \sum_t \alpha_{tk}} \cdot \frac{n_{w,k,t,*}^{-j} + \beta_{kw}}{n_{*,k,t,*}^{-j} + \sum_w \beta_{kw}} \cdot \frac{n_{*,k,*,d}^{-j} + \gamma}{n_{*,*,d}^{-j} + S\gamma},$$

where  $n_{w,k,t,d}$  is the number of words  $w$  generated with topic  $t$  and sentiment label  $k$  in document  $d$ ,  $\alpha_{tk}$  is the Dirichlet prior for topic  $t$  with sentiment label  $k$ .

## ASPECT AND SENTIMENT UNIFICATION MODEL

- ASUM: aspect-based analysis + sentiment for user reviews; a review is broken down into sentences, assuming that each sentence speaks about only one aspect.
- Basic model – Sentence LDA (SLDA): for each review  $d$  with topic distribution  $\theta_d$ , for each sentence in  $d$ ,
  - choose its sentiment label  $l_s \sim \text{Mult}(\pi_d)$ ,
  - choose topic  $t_s \sim \text{Mult}(\theta_d | l_s)$  conditional on the sentiment label  $l_s$ ,
  - generate words  $w \sim \text{Mult}(\phi_{l_s t_s})$ .



- Denoting by  $s_{k,t,d}$  the number of sentences (rather than words) assigned with topic  $t$  and sentiment label  $k$  in document  $d$ :

$$\begin{aligned}
 & p(z_j = t, l_j = k \mid l_{-j}, z_{-j}, w, \gamma, \alpha, \beta) \propto \\
 & \frac{s_{k,t,d}^{-j} + \alpha_t}{s_{k,*,d}^{-j} + \sum_t \alpha_t} \cdot \frac{s_{k,*,d}^{-j} + \gamma_k}{s_{*,*,d}^{-j} + \sum_{k'} \gamma_{k'}} \times \\
 & \times \frac{\Gamma(n_{*,k,t,*}^{-j} + \sum_w \beta_{kw})}{\Gamma(n_{*,k,t,*}^{-j} + \sum_w \beta_{kw} + W_{*,j})} \prod_w \frac{\Gamma(n_{w,k,t,*}^{-j} + \beta_{kw} + W_{w,j})}{\Gamma(n_{w,k,t,*}^{-j} + \beta_{kw})},
 \end{aligned}$$

where  $W_{w,j}$  is the number of words  $w$  in sentence  $j$ .

- There are other models and extensions (USTM).

## LEARNING SENTIMENT PRIORS

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- All of the models above assume that we have prior sentiment information from an external vocabulary:
  - in JST and Reverse-JST, word-sentiment priors  $\lambda$  are drawn from an external dictionary and incorporated into  $\beta$  priors;  $\beta_{kw} = \beta$  if word  $w$  can have sentiment label  $k$  and  $\beta_{kw} = 0$  otherwise;
  - in ASUM, prior sentiment information is also encoded in the  $\beta$  prior, making  $\beta_{kw}$  asymmetric similar to JST;
  - the same holds for other extensions such as USTM.

- Dictionaries of sentiment words do exist.
- But they are often incomplete; for instance, we wanted to apply it to Russian where there are few such dictionaries.
- It would be great to extend topic models for sentiment analysis to train sentiment for new words automatically!
- We can assume access to a small seed vocabulary with predefined sentiment, but the goal is to extend it to new words and learn their sentiment from the model.

- In all of these models, word sentiments are input as different  $\beta$  priors for sentiment labels.
- If only we could train these priors automatically...

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- If only we could train these priors automatically...
- ...and we can do it with EM!

## GENERALEMScheme

- 1: **while** inference has not converged **do**
- 2:     **for** N steps **do** □ M-step
- 3:         run one Gibbs sampling update step
- 4:     update  $\beta_{kw}$  priors □ E-step

- This scheme works for every LDA extension considered above.
- At the E-step, we update  $\beta_{kw} \propto n_{w,k,*,*}$ , and we can choose the normalization coefficient ourselves, so we start with high variance and then gradually refine  $\beta_{kw}$  estimates in simulated annealing:

$$\beta_{kw} = \frac{1}{\tau} n_{w,k,*,*}$$

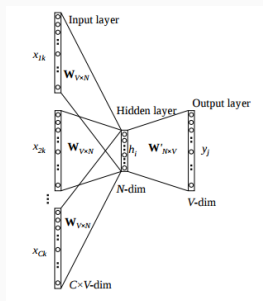
where  $\tau$  is a regularization coefficient (temperature) that starts large (high variance) and then decrease (lower variance).

- Thus, the final algorithm is as follows:
  - start with some initial approximation to  $\beta_s^w$  (from a small seed dictionary and maybe some simpler learning method used for initialization and then smoothed);
  - then, iteratively,
    - at the E-step of iteration  $i$ , update  $\beta_{kw}$  as  $\beta_{kw} = \frac{1}{\tau(i)} \mathbf{n}_{w,k,*,*}$  with, e.g.,  $\tau(i) = \max(1, 200/i)$ ;
    - at the M-step, perform several iterations of Gibbs sampling for the corresponding model with fixed values of  $\beta_{kw}$ .

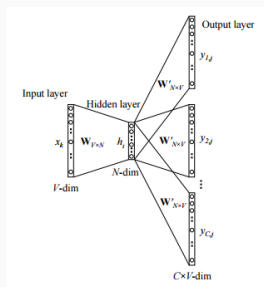
- Earlier (MICAI 2015), we have shown that this approach leads to improved results in terms of sentiment prediction quality.
- In this work, we use improved sentiment-topic models to learn new aspect-based sentiment dictionaries.
- To do so, we used *distributed word representations* (word embeddings).

# WORD EMBEDDINGS

- Distributed word representations map each word occurring in the dictionary to a Euclidean space, attempting to capture semantic relationships between the words as geometric relationships in the Euclidean space.
- Started back in (Bengio et al., 2003), exploded after the works of Bengio et al. and Mikolov et al. (2009–2011), now used everywhere; we use embeddings trained on a very large Russian dataset (thanks to Nikolay Arefyev and Alexander Panchenko!).



CBOW



skip-gram



- Intuition: words similar in some aspects of their meaning, e.g., sentiment, will be expected to be close in the semantic Euclidean space.
- To expand the top words of resulting topics:
  - extract word vectors for all top words from the distribution  $\varphi$  in topics and all words in available general-purpose sentiment lexicons;
  - for every top word in the topics, construct a list of its nearest neighbors according to the cosine similarity measure in the  $\mathbf{R}^{500}$  space among the sentiment words from the lexicons (20 neighbors is almost always enough).
- We have experimented with other similarity metrics ( $L_1$ ,  $L_2$ , variations on  $L_\infty$ ) with either worse or very similar results.

## EXPERIMENTS

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- Dataset with Russian language reviews on restaurants released for the SentiRuEval-2015 task (Loukachevitch et al., 2015).
- In total, 17,132 unlabeled reviews were used to train the Reverse-JST model.
- Preprocessing natural for topic modeling: remove stopwords and punctuation, convert to lowercase, normalize the text with Mystem, remove too rare words ( $< 3$  occurrences).
- For initial  $\beta$  priors, we used a manually constructed sentiment lexicon.

# SAMPLE TOPICS

| # | sent. | sentiment words   |
|---|-------|---|
| 1 | neu   | соус [sauce], салат [salad], кусочек [slice], сыр [cheese], тарелка [plate], овощ [vegetable], масло [oil], лук [onions], перец [pepper]            |
|   | pos   | приятный [pleasant], атмосфера [atmosphere], уютный [cozy], вечер [evening], музыка [music], ужин [dinner], романтический [romantic]                |
|   | neg   | ресторан [restaurant], официант [waiter], внимание [attention], сервис [service], обращать [to notice], обслуживать [to serve], уровень [level]     |
| 2 | neu   | столик [table], заказывать [to order], вечер [evening], стол [table], приходить [to come], место [place], заранее [in advance], встречать [to meet] |
|   | pos   | место [place], хороший [good], вкус [taste], самый [most], приятный [pleasant], вполне [quite], отличный [excellent], интересный [interesting]      |
|   | neg   | еда [food], вообще [in general], никакой [none], заказывать [to order], оказываться [appear], вкус [taste], ужасный [awful], ничто [nothing]        |
| 3 | neu   | девушка [girl], спрашивать [to ask], вопрос [question], подходить [to come], официантка [waitress], официант [waiter], говорить [to speak]          |
|   | pos   | большой [big], место [place], выбор [choice], хороший [good], блюдо [dish], цена [price], порция [portion], небольшой [small], плюс [plus]          |
|   | neg   | цена [price], обслуживание [service], качество [quality], уровень [level], кухня [kitchen], средний [average], ценник [price tag], высоко [high]    |

- The resulting aspect-based lexicons contain 726 topical aspects commonly divided into three types:
  - (1) explicit aspects that denote parts of a product (e.g., *сотрудник* [*worker*], *баранина* [*lamb*], *овощ* [*vegetable*], *мексиканский* [*mexican*]);
  - (2) implicit aspects that refer indirectly to a product (e.g., *чисто* [*clean*], *ароматный* [*aromatic*], *сытно* [*filling*], *шумно* [*noisy*]);
  - (3) narrative words which related to major topics in the text and indirectly refer to sentiment polarity of the text (e.g., *пересолить* [*to oversalt*], *пожелать* [*to wish*], *почувствовать* [*to sense*], *отсутствовать* [*be missing*]).
- Next we applied the mined aspects to sentiment classification to see whether there is an improvement.

- Classifier from (Ivanov, Tutubalina et al., 2015) based on a max-entropy model.
- It uses term frequency features in the context of an aspect term and lexicon-based features.
- Specifically, the following features from an aspect's context window of 4 words:
  - (1) lowercased *character n-grams* with document frequency greater than two;
  - (2) *lexicon-based unigrams* and *context unigrams and bigrams*;
  - (3) *aspect-based bigrams* as a combination of the aspect terms itself and words;
  - (4) *lexicon-based features*: the maximal sentiment score, the minimum sentiment score, the total and averaged sums of the words' sentiment scores.

- We compare classifiers with lexicon-based features:
  - (1) computed on a manually constructed general-purpose lexicon (baseline classifier),
  - (2) computed on a general-purpose lexicon for all words and aspect-based lexicons for individual aspects.
- We evaluated three different versions of sentiment scores:
  - (1) *scoresDict*: take sentiment score from the manually created lexicon if the word occurs in the lexicon with a positive or negative label; otherwise, set the score to 0;
  - (2) *scoresMult*: set the sentiment score of a word as a product of the dictionary score and the similarity;
  - (3) *scoresCos*: set the sentiment score to cosine similarity score if similarity between the word in question and *хорошуй* [good] is higher than similarity with *плохой* [bad]; otherwise, shift sentiment score towards the opposite polarity.

# CLASSIFICATION RESULTS

| Max-Entropy Classifier | micro-averaged |              |              | macro-averaged |              |              |
|------------------------|----------------|--------------|--------------|----------------|--------------|--------------|
|                        | P              | R            | F1           | P              | R            | F1           |
| baseline - Lexicon1    | 0.595          | 0.344        | 0.436        | 0.738          | 0.649        | 0.676        |
| scoresDict             | 0.592          | 0.344        | 0.436        | 0.737          | 0.649        | 0.676        |
| scoresMult             | 0.600          | 0.351        | 0.442        | 0.740          | 0.653        | 0.680        |
| scoresCos              | <b>0.610</b>   | 0.372        | 0.462        | <b>0.748</b>   | 0.663        | <b>0.691</b> |
| baseline - Lexicon2    | 0.572          | 0.341        | 0.427        | 0.727          | 0.646        | 0.671        |
| scoresDict             | 0.568          | 0.345        | 0.430        | 0.725          | 0.647        | 0.672        |
| scoresMult             | 0.556          | 0.338        | 0.420        | 0.719          | 0.643        | 0.667        |
| scoresCos              | 0.566          | 0.368        | 0.447        | 0.725          | 0.657        | 0.680        |
| baseline - Lex1 + Lex2 | 0.594          | 0.348        | 0.439        | 0.738          | 0.651        | 0.679        |
| scoresDict             | 0.595          | 0.376        | 0.461        | 0.741          | 0.663        | 0.689        |
| scoresMult             | 0.590          | 0.372        | 0.457        | 0.738          | 0.661        | 0.687        |
| scoresCos              | 0.602          | <b>0.376</b> | <b>0.463</b> | 0.744          | <b>0.664</b> | 0.690        |



## SAMPLE ASPECT-RELATED SENTIMENT WORDS

| aspect                  | sentiment words  |
|-------------------------|--|
| баранина [lamb]         | вкусный [tasty], сытный [filling], аппетитный [delicious], душистый [sweet smelling], деликатесный [speciality], сладкий [sweet]         |
| караоке [karaoke]       | музыкальный [musical], попсовый [pop], классно [awesome], развлекательный [entertaining], улетный [mind-blowing]                         |
| пирог [pie]             | вкусный [tasty], аппетитный [delicious], обсыпной [bulk ], сытный [filling], черствый [stale], ароматный [aromatic], сладкий [sweet]     |
| ресторан [restaurant]   | шикарный [upscale], фешенебельный [fashionable], уютный [cozy], люкс [luxe], роскошный [luxurious], недорогой [affordable]               |
| вывеска [sign]          | обветшалый [decayed], выцветший [faded], аляповатый [flashy], фешенебельный [fashionable], фанерный [veneer]                             |
| администратор [manager] | люкс [luxe], неисполнительный [careless], ответственный [responsible], компетентный [competent], толстяк [fatty]                         |
| интерьер [interior]     | уют [comfort], уютный [cozy], стильный [stylish], просторный [spacious], помпезный [magnific], роскошный [luxurious], шикарный [upscale] |
| вежливый [delicate]     | вежливый [delicate], учтивый [polite], обходительный [affable], доброжелательный [good-minded], тактичный [diplomatic]                   |

- We have presented a method for automatically extracting aspect-based sentiment lexicons based on an extension of sentiment-related topic models augmented with similarity search based on distributed word representations.
- We extract important new sentiment words for aspect-specific lexicons and show improvements in sentiment classification on standard benchmarks.
- Future work:
  - can we train a more informative relation between sentiment priors and distributed word representations?
  - maybe distributed word representations can be fed directly into the priors?

Thank you for your attention!